We are interested in how people are getting along financially these days. The next few questions are about income and other resources. Your responses can help us understand how people manage financially as they age.

Please feel free to refer to any records or other persons that may be of assistance in answering these questions.

Many of these questions ask about “last month.” By last month, I mean in \{CURRENT MONTH – 1\}.

PRESS 1 AND ENTER TO CONTINUE

**DISPLAY INSTRUCTIONS:**

If PROXY flag < 1 (yes) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "you". Otherwise, display "SP".

If SP MARITAL STATUS = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display "or [SP FIRSTNAME LASTNAME/SPouse/PARTNER FIRSTNAME LASTNAME]".
If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME". Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

If SP MARITAL STATUS = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display “SELECT ALL THAT APPLY” and response option 2, “YES, SPOUSE/PARTNER RECEIVED PAYMENT FROM SOURCE”.

Display “in the last month” in underlined text.

**QUESTION TEXT:**

Did [you/SP] [or [SP FIRSTNAME LASTNAME/SPouse/PARTNER FIRSTNAME LASTNAME]] receive Social Security retirement and/or Railroad Retirement payments in the last month (that is in \{CURRENT MONTH – 1\})?

\{SELECT ALL THAT APPLY\}

**CODES**

<table>
<thead>
<tr>
<th>Code</th>
<th>Code All That Apply</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>YES, SP RECEIVED PAYMENT FROM SOURCE</td>
</tr>
<tr>
<td>2</td>
<td>YES, SPOUSE/PARTNER RECEIVED PAYMENT FROM SOURCE</td>
</tr>
<tr>
<td>3</td>
<td>NO PAYMENT RECEIVED FROM THIS SOURCE</td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
</tr>
<tr>
<td></td>
<td>DON’T KNOW</td>
</tr>
</tbody>
</table>

**PROGRAMMER INSTRUCTIONS:**

Allow code all that apply.

If 3 (NO PAYMENT) selected and [1 (SP PAYMENT) or 2 (SPOUSE PAYMENT)] is selected, display error message
“INCONSISTENT ANSWER. ‘NO’ CANNOT BE SELECTED WITH ANY OTHER CATEGORY” when leaving the screen.

**BOX IA2**

**BOXIA2**

NOT ON FILE

If IA1 <> 1 (SP PAYMENT), go to IA4.
Otherwise, go to IA2.

**IA2**

**ia5howrecssp**

R5 IA2 HOW REC SOC SEC PAY

**DISPLAY INSTRUCTIONS:**

If PROXY flag <> 1 (YES), display "Do you".
Otherwise, display "Does SP".

**QUESTION TEXT:**

{Do you/Does SP} get payments by direct deposit, on a prepaid card, or by mail?

**CODES**

1
MAIL
2
DIRECT DEPOSIT
3
PREPAID CARD
REFUSED
DON’T KNOW

**IA3A**

**ia5msrtrecss**

R5 IA3A MTH STRD REC SOC SEC PAY

**DISPLAY INSTRUCTIONS:**

Display "MONTH" in underlined text”.

**QUESTION TEXT:**

What month and year did {you/SP} start receiving Social Security?

ENTER MONTH

**ENTER NUMBER**

Range 1 to 12
REFUSED
DON’T KNOW

**PROGRAMMER INSTRUCTIONS:**

Do not display the phrase 'Enter Number'.

**IA3B**

**ia5yrstressp**

R5 IA3B YR STRTD REC SOC SEC PAY

**DISPLAY INSTRUCTIONS:**

Display "YEAR" in underlined text”.

**QUESTION TEXT:**

[What month and year did {you/SP} start receiving Social Security?]
ENTER YEAR

ENTER NUMBER

REFUSED
DON'T KNOW

PROGRAMMER INSTRUCTIONS:
Range: \{CURRENT YEAR - 45\} – \{CURRENT YEAR\}.
Do not display the phrase 'Enter Number'.

IA4
ia5recessils1 R5 IA4 SP RECEIVD SSI LAST MONTH
ia5recessils2 R5 IA4 SPOUSE PRT REC SSI LST MO
ia5recessils3 R5 IA4 NO SSI RECEIVD LAST MONTH

DISPLAY INSTRUCTIONS:
If PROXY flag <>1 (YES) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "you".
Otherwise, display "SP".

If SP MARITAL STATUS = 1 (MARRIED) or 2 (LIVING WITH A PARTNER),
display "or\{SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME
LASTNAME\}".
If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME".
Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

If SP MARITAL STATUS = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display “SELECT ALL THAT APPLY” and
response option 2, “YES, SPOUSE/PARTNER RECEIVED PAYMENT FROM SOURCE”.

Display “last month” in underlined text.

QUESTION TEXT:
Did \{you/SP\} \{or \{SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME\}\} receive
Supplemental Security Income, which is also called SSI, last month?

IF NEEDED: These are monthly government payments to lower-income people in need.

\{SELECT ALL THAT APPLY\}

CODES Code All That Apply
1 YES, SP RECEIVED PAYMENT FROM SOURCE
2 YES, SPOUSE/PARTNER RECEIVED PAYMENT
   FROM SOURCE
3 NO PAYMENT RECEIVED FROM THIS SOURCE
   REFUSED
   DON'T KNOW

PROGRAMMER INSTRUCTIONS:
Allow code all that apply.
If 3 (NO PAYMENT) selected and \[1 (SP PAYMENT) or 2 (SPOUSE PAYMENT)\] is selected, display error message
"INCONSISTENT ANSWER. ‘NO’ CANNOT BE SELECTED WITH ANY OTHER CATEGORY” when leaving the
screen.

IA5
ia5rvapayls1 R5 IA5 SP REC PAY FRM VA LAST MO
ia5rvapayls2 R5 IA5 SPOUS PA REC VA PAY LSTMO
ia5rvapayls3 R5 IA5 NO VA PAY REC LAST MONTH
DISPLAY INSTRUCTIONS:

If PROXY flag <> 1 (YES) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "you".
Otherwise, display "SP".

If SP MARITAL STATUS = 1 (MARRIED) or 2 (LIVING WITH A PARTNER),
display "or {SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME}".
If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME".
Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

If SP MARITAL STATUS = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display “SELECT ALL THAT APPLY” and
response option 2, “YES, SPOUSE/PARTNER RECEIVED PAYMENT FROM SOURCE”.

Display “last month” in underlined text.

QUESTION TEXT:

Did [you/SP] {or [SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME]} receive any payments from the Veteran’s Administration (U.S. Department of Veterans Affairs) last month related to military service or veteran survivor’s benefits?

{SELECT ALL THAT APPLY}

CODES  Code All That Apply
1  YES, SP RECEIVED PAYMENT FROM SOURCE
2  YES, SPOUSE/PARTNER RECEIVED PAYMENT FROM SOURCE
3  NO PAYMENT RECEIVED FROM THIS SOURCE
               REFUSED
               DON’T KNOW

PROGRAMMER INSTRUCTIONS:

Allow code all that apply.
If 3 (NO PAYMENT) selected and [1 (SP PAYMENT) or 2 (SPOUSE PAYMENT)] is selected, display error message “INCONSISTENT ANSWER: ‘NO’ CANNOT BE SELECTED WITH ANY OTHER CATEGORY” when leaving the screen.

---

People sometimes have other retirement income. This may be from pensions or retirement plans related to their jobs.

PRESS 1 AND ENTER TO CONTINUE

---

DISPLAY INSTRUCTIONS:

If PROXY flag <> 1 (YES) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "Do you".
Otherwise, display "Does SP".

If SP MARITAL STATUS = 1 (MARRIED) or 2 (LIVING WITH A PARTNER),
display "or {SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME}".
If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME".
Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".
If SP MARITAL STATUS = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display “SELECT ALL THAT APPLY” and response option 2, “YES, SPOUSE/PARTNER HAS PENSION”.

QUESTION TEXT:

{Do you/Does SP} or {SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME} have any pension plans that were a job-related or union benefit?

IF NEEDED: These plans often require that a person work for a certain number of years before they qualify or “are vested” in the pension plan.

{SELECT ALL THAT APPLY}

CODES

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>YES, SP HAS PENSION</td>
</tr>
<tr>
<td>2</td>
<td>YES, SPOUSE/PARTNER HAS PENSION</td>
</tr>
<tr>
<td>3</td>
<td>NO PENSION</td>
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<tr>
<td></td>
<td>REFUSED</td>
</tr>
<tr>
<td></td>
<td>DON’T KNOW</td>
</tr>
</tbody>
</table>

PROGRAMMER INSTRUCTIONS:

Allow code all that apply.

If 3 (NO PENSION) selected and [1 (SP PENSION) or 2 (SPOUSE PENSION)] is selected, display error message “INCONSISTENT ANSWER. ‘NO’ CANNOT BE SELECTED WITH ANY OTHER CATEGORY” when leaving the screen.

IA7

| iaSiraothac1 | R5 IA7 SP HAS IRA OTH RETIRE ACC                  |
| iaSiraothac2 | R5 IA7 SPOUSE HAS IRA OTHR ACC                    |
| iaSiraothac3 | R5 IA7 NO IRA OTHR RETIRE ACCT                   |

DISPLAY INSTRUCTIONS:

If PROXY flag <>1 (YES) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "Do you".

Otherwise, display "Does SP".

If SP MARITAL STATUS = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display "or {SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME}".

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME".

Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

If SP MARITAL STATUS = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display “SELECT ALL THAT APPLY” and response option 2, “YES, SPOUSE/PARTNER HAS 401K, 403B, IRA, OR OTHER RETIREMENT PLANS”.

QUESTION TEXT:

SHOW CARD IA1

Please look at the types of retirement plans on this card. {Do you/Does SP} or {SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME} have any of these?

IF NEEDED: 401Ks and 403Bs are plans where you contribute an amount each month from your paycheck, and your employer may match some of your contribution.

IF NEEDED: IRAs (Individual Retirement Accounts) are a type of plan you set up on your own.

{SELECT ALL THAT APPLY}
**CODES**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>YES, SP HAS 401K, 403B, IRA, OR OTHER RETIREMENT PLANS</td>
</tr>
<tr>
<td>2</td>
<td>YES, SPOUSE/PARTNER HAS 401K, 403B, IRA, OR OTHER RETIREMENT PLANS</td>
</tr>
<tr>
<td>3</td>
<td>NO PLANS * REFUSED * DON'T KNOW</td>
</tr>
</tbody>
</table>

**PROGRAMMER INSTRUCTIONS:**

Allow code all that apply.

If 3 (NO ACCT) selected and [1 (SP ACCT) or 2 (SPOUSE ACCT)] is selected, display error message

"INCONSISTENT ANSWER. ‘NO’ CANNOT BE SELECTED WITH ANY OTHER CATEGORY" when leaving the screen.

### IA8

<table>
<thead>
<tr>
<th>Code</th>
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</thead>
<tbody>
<tr>
<td>ia5mutfdstk1</td>
<td>R5 IA8 SP OWNS MUTUAL FUND STOCK</td>
</tr>
<tr>
<td>ia5mutfdstk2</td>
<td>R5 IA8 SPOUSE OWNS FUNDS STOCK</td>
</tr>
<tr>
<td>ia5mutfdstk3</td>
<td>R5 IA8 SP SPOUSE OWN FUNDS STOCK</td>
</tr>
<tr>
<td>ia5mutfdstk4</td>
<td>R5 IA8 NO FUNDS OR STOCK OWNED</td>
</tr>
</tbody>
</table>

**DISPLAY INSTRUCTIONS:**

If IA7 = 1 (SP RETIREMENT PLAN) or 2 (SPOUSE RETIREMENT ACCT), display “Not including the retirement accounts we have already talked about, {do you/does SP}?”. Otherwise, display “Do you/Does SP?”.

If PROXY flag <> 1 (YES) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "Do you" or “do you”. Otherwise, display "Does SP" or “does SP”.

If SP MARITAL STATUS = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display "or [SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME]".

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME". Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

If SP MARITAL STATUS = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display “SELECT ALL THAT APPLY” and response option 2, “YES, SPOUSE/PARTNER HAS ASSET” and 3, “YES, SP AND SPOUSE/PARTNER HAVE ASSET JOINTLY”.

**QUESTION TEXT:**

{Not including the retirement accounts we have already talked about, {do you/does SP}?} [{Do you/Does SP}]{[SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME]} own any mutual funds or stocks?

{SELECT ALL THAT APPLY}
If 4 (NO ASSET) selected and [1 (SP ASSET), 2 (SPOUSE ASSET), or 3 (JOINT ASSET)] is selected, display error message “INCONSISTENT ANSWER. ‘NO’ CANNOT BE SELECTED WITH ANY OTHER CATEGORY” when leaving the screen.

**DISPLAY INSTRUCTIONS:**
If PROXY flag <> 1 (YES) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “do you”. Otherwise, display “does SP”.

If SP MARITAL STATUS = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display "SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME]." If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME". Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

If SP MARITAL STATUS = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display “SELECT ALL THAT APPLY” and response option 2, “YES, SPOUSE/PARTNER HAS ASSET” and 3, “YES, SP AND SPOUSE/PARTNER HAVE ASSET JOINTLY”.

**QUESTION TEXT:**
Not including what we've already talked about, {do you/does SP} {or [SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME]} own any bonds, such as Government Savings Bonds, corporate, municipal, or other types of bonds?

**CODES**

<table>
<thead>
<tr>
<th>Code</th>
<th>Code All That Apply</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>YES, SP HAS ASSET</td>
</tr>
<tr>
<td>2</td>
<td>YES, SPOUSE/PARTNER HAS ASSET</td>
</tr>
<tr>
<td>3</td>
<td>YES, SP AND SPOUSE/PARTNER HAVE ASSET JOINTLY</td>
</tr>
<tr>
<td>4</td>
<td>NO ASSET OF THIS TYPE</td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
</tr>
<tr>
<td></td>
<td>DON’T KNOW</td>
</tr>
</tbody>
</table>

**PROGRAMMER INSTRUCTIONS:**
Allow code all that apply.
If 4 (NO ASSET) selected and [1 (SP ASSET), 2 (SPOUSE ASSET), or 3 (JOINT ASSET)] is selected, display error message “INCONSISTENT ANSWER. ‘NO’ CANNOT BE SELECTED WITH ANY OTHER CATEGORY” when leaving the screen.

**IA10PRE**

**NOT ON FILE**
The next questions ask about different kinds of bank or savings accounts people sometimes have or property they own.

PRESS 1 AND ENTER TO CONTINUE

**IA10**

<table>
<thead>
<tr>
<th>Code</th>
<th>Code All That Apply</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>R5 IA10 SP OWNS CHECK ACCT</td>
</tr>
<tr>
<td>2</td>
<td>R5 IA10 SPOUSE OWNS CHECK ACCT</td>
</tr>
</tbody>
</table>
DISPLAY INSTRUCTIONS:

If PROXY flag <> 1 (YES) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “do you”.
Otherwise, display “does SP”.

If SP MARITAL STATUS = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display "or [SP
FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME]".
If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME".
Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

If SP MARITAL STATUS = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display “SELECT ALL THAT APPLY”
and response option 2, “YES, SPOUSE/PARTNER HAS ASSET” and 3, “YES, SP AND SPOUSE/PARTNER HAVE
ASSET JOINTLY”.

QUESTION TEXT:

Not counting what we’ve already talked about, [do you/does SP] [or [SP FIRSTNAME
LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME]] have ...
RESPONSE [1] A. a checking account?
RESPONSE [2] B. a savings account or money market account?
RESPONSE [3] C. certificates of deposit?

{SELECT ALL THAT APPLY}

CODES

<table>
<thead>
<tr>
<th>Code</th>
<th>Code All That Apply</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>YES, SP HAS ASSET</td>
</tr>
<tr>
<td>2</td>
<td>YES, SPOUSE/PARTNER HAS ASSET</td>
</tr>
<tr>
<td>3</td>
<td>YES, SP AND SPOUSE/PARTNER HAVE ASSET JOINTLY</td>
</tr>
<tr>
<td>4</td>
<td>NO ASSETS OF THIS TYPE</td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
</tr>
<tr>
<td></td>
<td>DON’T KNOW</td>
</tr>
</tbody>
</table>

PROGRAMMER INSTRUCTIONS:

Allow code all that apply.
If 4 (NO ASSETS) selected and [1 (SP ASSET), 2 (SPOUSE ASSET), or 3 (JOINT ASSET)] is selected, display error
message “INCONSISTENT ANSWER. ‘NO’ CANNOT BE SELECTED WITH ANY OTHER CATEGORY” when leaving the screen.
DISPLAY INSTRUCTIONS:
If PROXY flag <>1 (YES) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “do you”. Otherwise, display “does SP”.

If SP MARITAL STATUS = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display “or [SP FIRSTNAME LASTNAME]/SPOUSE/PARTNER FIRSTNAME LASTNAME”.
If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “SP FIRSTNAME LASTNAME”.
Otherwise, display “SPOUSE/PARTNER FIRSTNAME LASTNAME”.

If SP MARITAL STATUS = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display “SELECT ALL THAT APPLY” and response option 2, “YES, SPOUSE/PARTNER HAS ASSET” and 3, “YES, SP AND SPOUSE/PARTNER HAVE ASSET JOINTLY”.

If HP1 = 1 (OWNS HOME), display “besides {your/SP}’s home”.

QUESTION TEXT:
{Do you/Does SP} {or [SP FIRSTNAME LASTNAME]/SPOUSE/PARTNER FIRSTNAME LASTNAME} own a business, a farm, or any other real estate {besides {your/SP}’s home}, including land or rental properties?

{SELECT ALL THAT APPLY}

CODES

<table>
<thead>
<tr>
<th>Code</th>
<th>Code All That Apply</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>YES, SP HAS ASSET</td>
</tr>
<tr>
<td>2</td>
<td>YES, SPOUSE/PARTNER HAS ASSET</td>
</tr>
<tr>
<td>3</td>
<td>YES, SP AND SPOUSE/PARTNER HAVE ASSET JOINTLY</td>
</tr>
<tr>
<td>4</td>
<td>NO ASSETS OF THIS TYPE</td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
</tr>
<tr>
<td></td>
<td>DON’T KNOW</td>
</tr>
</tbody>
</table>

PROGRAMMER INSTRUCTIONS:
Allow code all that apply.
If 4 (NO ASSETS) selected and [1 (SP ASSET), 2 (SPOUSE ASSET), or 3 (JOINT ASSET)] is selected, display error message “INCONSISTENT ANSWER. ‘NO’ CANNOT BE SELECTED WITH ANY OTHER CATEGORY” when leaving the screen.

IA14PRE

We now have a few questions which are important for understanding how people manage financially as they age.

PRESS 1 AND ENTER TO CONTINUE

BOX IA14

If IA1 = 1 (SP RECEIVED SS/RR) and 2 (SPOUSE RECEIVED SS/RR), go to IA14.
Else if IA1 = 1 (SP RECEIVED SS/RR), go to IA15A.
Else if IA1 = 2 (SPOUSE RECEIVED SS/RR), go to IA16A.
Otherwise, go to BOX IA17.

IA14

ia5ssrrpymnt

R5 IA14 RECENT MTHLY SS RR PYMNT
DISPLAY INSTRUCTIONS:
If PROXY flag <> 1 (YES) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display
“your”. Otherwise, display “SP’s”.

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME".
Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

QUESTION TEXT:
First, what was the amount of [your/SP’s] and [SP FIRSTNAME LASTNAME/SPOUSE/PARTNER
FIRSTNAME LASTNAME]’s most recent monthly Social Security or Railroad Retirement payment
(for the month of {CURRENT MONTH – 1})?

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to
understand how people manage financially as they age and what effect this might have on their
health.

CODES
1 ENTER COMBINED AMOUNT
2 ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY
   REFUSED
   DON’T KNOW

IA14A

IA14B

QUESTION TEXT:
ENTER COMBINED SP AND SPOUSE/PARTNER SOCIAL SECURITY/RAILROAD RETIREMENT AMOUNT

ENTER NUMBER

Range
1 to 9999

PROGRAMMER INSTRUCTIONS:

Range: $1-$9,999
Do not display the phrase 'Enter Number'.
If IA14A = 1-9999, go to BOX IA17

IA14B

QUESTION TEXT:
SHOW CARD IA2

Please look at this card and tell me which is closest.

CODES
1 LESS THAN $1,300, BOX IA17
2 $1,300 TO LESS THAN $1,700 BOX IA17
3 $1,700 TO LESS THAN $2,200 BOX IA17
4 $2,200 TO LESS THAN $2,600 BOX IA17
5 $2,600 OR MORE? BOX IA17
### IA15A

**DISPLAY INSTRUCTIONS:**

If PROXY flag <>1 (YES), display “your”.
Otherwise, display “SP’s”.

**QUESTION TEXT:**

What was the amount of {your/SP’s} most recent monthly Social Security or Railroad Retirement payment (for the month of {CURRENT MONTH – 1})?

- If NEEDED: We don’t need an exact dollar amount.
- If NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

**ENTER SP SOCIAL SECURITY/RAILROAD RETIREMENT AMOUNT**

**ENTER NUMBER**

<table>
<thead>
<tr>
<th>Range</th>
<th>1 to 9999</th>
</tr>
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<tbody>
<tr>
<td>REFUSED</td>
<td></td>
</tr>
<tr>
<td>DON’T KNOW</td>
<td></td>
</tr>
</tbody>
</table>

**PROGRAMMER INSTRUCTIONS:**

Range: $1-$9,999
Do not display the phrase 'Enter Number'.
If IA15A = 1-9999, go to BOX IA16A

### IA15B

**QUESTION TEXT:**

SHOW CARD IA3

Please look at this card and tell me which is closest.

**CODES**

<table>
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<th></th>
<th>Description</th>
</tr>
</thead>
<tbody>
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<tr>
<td>5</td>
<td>$1,600 OR MORE</td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
</tr>
<tr>
<td></td>
<td>DON’T KNOW</td>
</tr>
</tbody>
</table>

### BOX IA16A

**BOXIA16A**

NOT ON FILE

If IA14 = 2 (ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY), go to IA16A.
Otherwise, go to BOX IA17.
DISPLAY INSTRUCTIONS:
If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "your". Otherwise, display "[SPOUSE/PARTNER FIRSTNAME LASTNAME]'s".

QUESTION TEXT:
What was the amount of [your/[SPOUSE/PARTNER FIRSTNAME LASTNAME]'s] most recent monthly Social Security or Railroad Retirement payment (for the month of [CURRENT MONTH – 1])?

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SPOUSE/PARTNER SOCIAL SECURITY/RAILROAD RETIREMENT AMOUNT

ENTER NUMBER
Range
1 to 9999
REFUSED
DON’T KNOW

PROGRAMMER INSTRUCTIONS:
Range: $1-$9,999.
Do not display the phrase 'Enter Number'.
If IA16A = 1-9999, go to BOX IA17

IA16B

QUESTION TEXT:
SHOW CARD IA3
Please look at this card and tell me which is closest.

CODES
1 LESS THAN $700,
2 $700 TO LESS THAN $1,000
3 $1,000 TO LESS THAN $1,300
4 $1,300 TO LESS THAN $1,600
5 $1,600 OR MORE
REFUSED
DON’T KNOW

BOX IA17

BOXIA17
NOT ON FILE

If IA4 = 1 (SP RECEIVED SSI) and 2 (SPOUSE RECEIVED SSI), go to IA17.
Else if IA4 = 1 (SP RECEIVED SSI), go to IA18A.
Else if IA4 = 2 (SPOUSE RECEIVED SSI), go to IA19A.
Otherwise, go to BOX IA20.
DISPLAY INSTRUCTIONS:
If PROXY flag <> 1 (YES) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “your”.
Otherwise, display “SP’s”.

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME".
Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

QUESTION TEXT:
What was the amount of [your/SP's] and [SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME]'s most recent monthly SSI payment (for the month of [CURRENT MONTH – 1])?

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

CODES
1 ENTER COMBINED AMOUNT IA18A
2 ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY IA18A
   REFUSED IA17B
   DON’T KNOW IA17B

IA17A

QUESTION TEXT:
ENTER COMBINED SP AND SPOUSE/PARTNER SSI AMOUNT

ENTER NUMBER
Range
1 to 9999

PROGRAMMER INSTRUCTIONS:
Range: $1-$9,999.
Do not display the phrase 'Enter Number'.
If IA17A = 1-9999, go to BOX IA20.

IA17B

QUESTION TEXT:
SHOW CARD IA4
Please look at this card and tell me which is closest.

CODES
1 LESS THAN $300, BOX IA20
2 $300 TO LESS THAN $700, BOX IA20
3 $700 TO LESS THAN $1000 BOX IA20
4 $1000 OR MORE BOX IA20
   REFUSED BOX IA20
   DON’T KNOW BOX IA20
DISPLAY INSTRUCTIONS:

If PROXY flag <> 1 (YES), display “your”. Otherwise, display “SP’s”.

QUESTION TEXT:

What was the amount of {your/SP’s} most recent monthly SSI payment (for the month of {CURRENT MONTH – 1})?

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SP SSI AMOUNT

ENTER NUMBER

Range 1 to 9999
REFUSED
DON’T KNOW

PROGRAMMER INSTRUCTIONS:

Range: $1-$9,999
Do not display the phrase 'Enter Number'.
If IA18A = 1-9999, go to BOX IA19A.

IA18B

QUESTION TEXT:

SHOW CARD IA5

Please look at this card and tell me which is closest.

CODES

1 LESS THAN $100,
2 $100 TO LESS THAN $200
3 $200 TO LESS THAN $400
4 $400 TO LESS THAN $700
5 $700 OR MORE
REFUSED
DON’T KNOW

BOX IA19A

If IA17 = 2 (ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY), go to IA19A.
Otherwise, go to BOX IA20.
DISPLAY INSTRUCTIONS:
If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “your”.
Otherwise, display “[SPOUSE/PARTNER FIRSTNAME LASTNAME]’s”.

QUESTION TEXT:
What was the amount of [your/[SPOUSE/PARTNER FIRSTNAME LASTNAME]’s] most recent monthly SSI payment (for the month of [CURRENT MONTH – 1])?
IF NEEDED: We don’t need an exact dollar amount.
IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.
ENTER SPOUSE/PARTNER SSI AMOUNT

ENTER NUMBER
Range 1 to 9999
REFUSED
DON’T KNOW

PROGRAMMER INSTRUCTIONS:
Range: $1-$9,999.
Do not display the phrase ‘Enter Number’.
If IA19A = 1-9999, go to BOX IA20.

CODES
1 LESS THAN $100,
2 $100 TO LESS THAN $200
3 $200 TO LESS THAN $400
4 $400 TO LESS THAN $700
5 $700 OR MORE
REFUSED
DON’T KNOW

BOX IA20
BOX IA20 NOT ON FILE

If IA5 = 1 (SP RECEIVED VA) and 2 (SPOUSE RECEIVED VA), go to IA20.
Else if IA5 = 1 (SP RECEIVED VA), go to IA21A.
Else if IA5 = 2 (SPOUSE RECEIVED VA), go to IA22A.
Otherwise, go to BOX IA23.
DISPLAY INSTRUCTIONS:

If PROXY flag <> 1 (YES) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “your”. Otherwise, display “SP’s”.

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME". Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

QUESTION TEXT:

What was the amount of {your/SP’s} and {SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME}’s most recent monthly Veteran’s Administration payment (for the month of [CURRENT MONTH – 1])?

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

CODES

1 ENTER COMBINED AMOUNT
2 ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY
   REFUSED
   DON’T KNOW

IA20A

QUESTION TEXT:

ENTER COMBINED SP AND SPOUSE/PARTNER VA AMOUNT

ENTER NUMBER

Range 1 to 9999

PROGRAMMER INSTRUCTIONS:

Range: $1-$9,999

Do not display the phrase 'Enter Number'.

If IA20A = 1-9999, go to BOX IA23.

IA20B

QUESTION TEXT:

SHOW CARD IA6

Please look at this card and tell me which is closest.

CODES

1 LESS THAN $1000, BOX IA23
2 $1000 TO LESS THAN $1,400 BOX IA23
3 $1,400 TO LESS THAN $1,800 BOX IA23
4 $1,800 TO LESS THAN $2,200 BOX IA23
5 $2,200 OR MORE BOX IA23
   REFUSED BOX IA23
DISPLAY INSTRUCTIONS:

If PROXY flag <> 1 (YES), display “your”. Otherwise, display “SP’s”.

QUESTION TEXT:

What was the amount of [your/SP’s] most recent monthly Veteran’s Administration payment (for the month of [CURRENT MONTH – 1])?

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SP VA AMOUNT

ENTER NUMBER

Range

1 to 9999

REFUSED

DON’T KNOW

PROGRAMMER INSTRUCTIONS:

Range: $1-$9,999
Do not display the phrase 'Enter Number'.
If IA21A = 1-9999, go to BOX IA22A.

QUESTION TEXT:

SHOW CARD IA7

Please look at this card and tell me which is closest.

CODES

1  LESS THAN $500
2  $500 TO LESS THAN $700
3  $700 TO LESS THAN $900
4  $900 TO LESS THAN $1,100
5  $1,100 OR MORE

REFUSED

DON’T KNOW

BOX IA22A

NOT ON FILE

If IA20 = 2 (ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY), go to IA22A.
Otherwise, go to BOX IA23.
DISPLAY INSTRUCTIONS:
If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "your".
Otherwise, display "(SPOUSE/PARTNER FIRSTNAME LASTNAME)'s".

QUESTION TEXT:
What was the amount of (SPOUSE/PARTNER FIRSTNAME LASTNAME)'s most recent monthly Veteran's Administration payment (for the month of CURRENT MONTH – 1)?

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SPouse/PARTNER VA AMOUNT

ENTER NUMBER
Range 1 to 9999
REFUSED
DON’T KNOW

PROGRAMMER INSTRUCTIONS:
Range: $1-9,999.
Do not display the phrase 'Enter Number'.
If IA22A = 1-9999, go to BOX IA23.

QUESTION TEXT:
SHOW CARD IA7
Please look at this card and tell me which is closest.

CODES
1 LESS THAN $500
2 $500 TO LESS THAN $700
3 $700 TO LESS THAN $900
4 $900 TO LESS THAN $1,100
5 $1,100 OR MORE
REFUSED
DON’T KNOW

BOX IA23

BOX IA23
NOT ON FILE

If IA6 = 1 (SP RECEIVED PENSION PLAN) and 2 (SPOUSE RECEIVED PENSION PLAN), go to IA23.
Else if IA6 = 1 (SP RECEIVED PENSION PLAN), go to IA24A.
Else if IA6 = 2 (SPOUSE RECEIVED PENSION PLAN), go to IA25A.
Otherwise, go to BOX IA26.
IA23

DISPLAY INSTRUCTIONS:
If PROXY flag <> 1 (YES) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “you”.
Otherwise, display “SP”.

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME".
Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

QUESTION TEXT:
You told me earlier that {you/SP} and {SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME
LASTNAME} have job-related pension plans. In all, how much was received from these pension plans
in the last month, before any federal or state taxes were taken out (for the month of {CURRENT
MONTH – 1})?

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to
understand how people manage financially as they age and what effect this might have on their
health.

CODES
1 ENTER COMBINED AMOUNT IA24A
2 ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY IA24A
   REFUSED IA23B
   DON’T KNOW IA23B

IA23A

QUESTION TEXT:
ENTER COMBINED SP AND SPOUSE/PARTNER PENSION PLAN AMOUNT

ENTER NUMBER
Range 1 to 9999

PROGRAMMER INSTRUCTIONS:
Range: $1-$9,999
Do not display the phrase 'Enter Number'.
If IA23A = 1-9999, go to BOX IA26.

IA23B

QUESTION TEXT:
SHOW CARD IA8
Please look at this card and tell me which is closest.

CODES
1 LESS THAN $600, BOX IA26
2 $600 TO LESS THAN $1,300 BOX IA26
3 $1,300 TO LESS THAN $2,100 BOX IA26
4 $2,100 TO LESS THAN $5,900 BOX IA26
DISPLAY INSTRUCTIONS:

If PROXY flag <> 1 (YES), display “you have” and your”.
Otherwise, display “SP has” and “SP’s”.

If IA23=2, (ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY), display "{your/SP’s} job-related". 
Otherwise, display “You told me earlier that {you have/SP has} a job-related pension plan.” and "this".

QUESTION TEXT:

{You told me earlier that {you have/SP has} a job-related pension plan.} In all, how much was received from
{SP’s} job-related [this] pension plan in the last month, before any federal or state taxes were taken out
(for the month of [CURRENT MONTH – 1]):

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to
understand how people manage financially as they age and what effect this might have on their health.

ENTER SP PENSION PLAN AMOUNT

ENTER NUMBER

Range
1 to 9999
REFUSED
DON’T KNOW

PROGRAMMER INSTRUCTIONS:

Range: $1-$9,999
Do not display the phrase ‘Enter Number’.
If IA24A = 1-9999, go to BOX IA25A.

QUESTION TEXT:

SHOW CARD IA9
Please look at this card and tell me which is closest.

CODES

1 LESS THAN $400
2 $400 TO LESS THAN $900
3 $900 TO LESS THAN $1,600
4 $1,600 TO LESS THAN $3,800
5 $3,800 OR MORE
REFUSED
DON’T KNOW
If IA23 = 2 (ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY), go to IA25A.
Otherwise, go to BOX IA26.

### IA25A

**ia$pentamt**

R$ IA25A PARTNR JOB PENSN AMOUNT

**DISPLAY INSTRUCTIONS:**

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "you have" and "your".
Otherwise, display "[SPOUSE/PARTNER FIRSTNAME LASTNAME] has" and "[SPOUSE/PARTNER FIRSTNAME LASTNAME]'s".

If IA23 = 2 (ENTER SP and SPOUSE/PARTNER AMOUNTS SEPARATELY), display "[your/SP's] job-related".
Otherwise, display "You told me earlier that [you have/[SPOUSE/PARTNER FIRSTNAME LASTNAME] has] a job-related pension plan" and "this".

**QUESTION TEXT:**

{You told me earlier that [you have/[SPOUSE/PARTNER FIRSTNAME LASTNAME] has] a job-related pension plan.] In all, how much was received from [your/[SPOUSE/PARTNER FIRSTNAME LASTNAME]'s] job-related/this] pension plan in the last month, before any federal or state taxes were taken out (for the month of [CURRENT MONTH – 1])?

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

**ENTER SPOUSE/PARTNER PENSION PLAN AMOUNT**

**ENTER NUMBER**

Range 1 to 9999

REFUSED

DON'T KNOW

**PROGRAMMER INSTRUCTIONS:**

Range: $1-$9,999
Do not display the phrase 'Enter Number'.
If IA25A = 1-9999, go to BOX IA26.

### IA25B

**ia$penptest**

R$ IA25B EST PARTNR JOB PEN AMT

**QUESTION TEXT:**

SHOW CARD IA9

Please look at this card and tell me which is closest.

**CODES**

1 LESS THAN $400
2 $400 TO LESS THAN $900
3 $900 TO LESS THAN $1,600
4 $1,600 TO LESS THAN $3,800
5 $3,800 OR MORE

REFUSED

DON'T KNOW
If IA7 = 1 (SP HAS RETIREMENT ACCT) and 2 (SPOUSE HAS RETIREMENT ACCT), go to IA26.
Else if IA7 = 1 (SP HAS RETIREMENT ACCT), go to IA27A.
Else if IA7 = 2 (SPOUSE HAS RETIREMENT ACCT), go to IA28A.
Otherwise, go to BOX IA35.

**DISPLAY INSTRUCTIONS:**

If PROXY flag <> 1 (YES) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "you".
Otherwise, display "SP".

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME".
Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

Display "currently" in underlined text.

**QUESTION TEXT:**

This next question is a bit different. You mentioned that {you/SP} and {SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME} have retirement accounts. In total, about how much is currently in all of these retirement accounts?

IF NEEDED: Retirement accounts include 401K, 403B, IRA and other retirement accounts.

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

**CODES**

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<th>Code</th>
<th>Description</th>
<th>Code</th>
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<tr>
<td>1</td>
<td>ENTER COMBINED AMOUNT</td>
<td>IA26A</td>
</tr>
<tr>
<td>2</td>
<td>ENTER SP AND SPOUSE/PARTNER AMOUNT SEPARATELY REFUSED DON'T KNOW</td>
<td>IA27A IA26B IA26B</td>
</tr>
</tbody>
</table>

**QUESTION TEXT:**

ENTER COMBINED SP AND SPOUSE/PARTNER RETIREMENT ACCOUNT AMOUNT

**ENTER NUMBER**

Range 1 to 9999999

**PROGRAMMER INSTRUCTIONS:**

Range: $1-$9,999,999
Do not display the phrase 'Enter Number'.
If IA26A = 1-9999999, go to BOX IA29.

**IA26B**

**R5 IA26B EST JOINT RET ACCT WRTH**

**QUESTION TEXT:**

SHOW CARD IA10

Please look at this card and tell me which is closest.

**CODES**

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<th>Description</th>
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<tr>
<td>2</td>
<td>$34,000 TO LESS THAN $82,000</td>
<td>IA29</td>
</tr>
<tr>
<td>3</td>
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<td>IA29</td>
</tr>
<tr>
<td>4</td>
<td>$175,000 TO LESS THAN $413,000</td>
<td>IA29</td>
</tr>
<tr>
<td>5</td>
<td>$413,000 OR MORE</td>
<td>IA29</td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
<td>IA29</td>
</tr>
<tr>
<td></td>
<td>DON'T KNOW</td>
<td>IA29</td>
</tr>
</tbody>
</table>

**IA27A**

**R5 IA27A SP RETIREMENT ACCT WRTH**

**DISPLAY INSTRUCTIONS:**

If PROXY flag <> 1 (YES), display “you have”.
Otherwise, display “SP has”.

Display “currently” in underlined text.

**QUESTION TEXT:**

This next question is a bit different. You mentioned that {you have/SP has} retirement accounts.
In total, about how much is currently in all of these retirement accounts?

IF NEEDED: Retirement accounts include 401K, 403B, IRA and other retirement accounts.

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

**ENTER SP RETIREMENT ACCOUNT AMOUNT**

**ENTER NUMBER**

Range: 1 to 9999999

REFUSED

DON’T KNOW

**PROGRAMMER INSTRUCTIONS:**

Range: 1-$9,999,999
Do not display the phrase 'Enter Number'.
If IA27A = 1-9,999,999, go to BOX IA28A.

**IA27B**

**R5 IA27B EST SP RET ACCT WORTH**

**QUESTION TEXT:**

SHOW CARD IA11
Please look at this card and tell me which is closest.

**CODES**

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<th>Number</th>
<th>Description</th>
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</thead>
<tbody>
<tr>
<td>1</td>
<td>LESS THAN $20,000</td>
</tr>
<tr>
<td>2</td>
<td>$20,000 TO LESS THAN $47,000</td>
</tr>
<tr>
<td>3</td>
<td>$47,000 TO LESS THAN $92,000</td>
</tr>
<tr>
<td>4</td>
<td>$92,000 TO LESS THAN $218,000</td>
</tr>
<tr>
<td>5</td>
<td>$218,000 OR MORE</td>
</tr>
<tr>
<td></td>
<td>DON'T KNOW</td>
</tr>
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</table>

**BOX IA28A**

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>If IA26 = 2 (ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY), go to IA28A. Otherwise, go to BOX IA29.</td>
<td></td>
</tr>
</tbody>
</table>

**IA28A**

**DISPLAY INSTRUCTIONS:**

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “you have”. Otherwise, display “[SPOUSE/PARTNER FIRSTNAME LASTNAME] has”.

Display “currently” in underlined text.

**QUESTION TEXT:**

This next question is a bit different. You mentioned that {you have/[SPOUSE/PARTNER FIRSTNAME LASTNAME] has} retirement accounts. In total, about how much is currently in all of these retirement accounts?

IF NEEDED: Retirement accounts include 401K, 403B, IRA and other retirement accounts.

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SPOUSE/PARTNER RETIREMENT ACCOUNT AMOUNT

**ENTER NUMBER**

Range

1 to 999999

REFUSED

DON'T KNOW

**PROGRAMMER INSTRUCTIONS:**

Range: $1-$999,999

Do not display the phrase 'Enter Number'.

If IA28A = 1-999999, go to BOX IA29.

**IA28B**

**QUESTION TEXT:**

SHOW CARD IA11
Please look at this card and tell me which is closest.

CODES
1  LESS THAN $20,000
2  $20,000 TO LESS THAN $47,000
3  $47,000 TO LESS THAN $92,000
4  $92,000 TO LESS THAN $218,000
5  $218,000 OR MORE
   REFUSED
   DON'T KNOW

BOX IA29

If IA7 = 1 (SP HAS RETIREMENT ACCT) and 2 (SPOUSE HAS RETIREMENT ACCT), go to IA29.
Else if IA7 = 1 (SP HAS RETIREMENT ACCT), go to IA30A.
Else if IA7 = 2 (SPOUSE HAS RETIREMENT ACCT), go to IA31A.
Otherwise, go to BOX IA35.

IA29

DISPLAY INSTRUCTIONS:
If PROXY flag <> 1 (YES) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “you”.
Otherwise, display “SP”.

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME".
Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

Display “Last month” in underlined text.

QUESTION TEXT:
Last month, how much altogether did {you/SP} and {SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME} receive or withdraw from all of these retirement accounts (for the month of {CURRENT MONTH – 1})?

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

CODES
1  ENTER COMBINED AMOUNT
2  ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY
   REFUSED
   DON'T KNOW
   IA30A
   IA29B

IA29A

QUESTION TEXT:
ENTER COMBINED SP AND SPOUSE/PARTNER RETIREMENT ACCOUNT RECEIVED/WITHDRAWN AMOUNT
ENTER NUMBER

Range 0 to 99999

PROGRAMMER INSTRUCTIONS:
Range: $0-$99,999
Do not display the phrase 'Enter Number'.
If IA29A = 0-99,999, go to BOX IA32.

IA29B

DISPLAY INSTRUCTIONS:
Display “last month” in underlined text.

QUESTION TEXT:
SHOW CARD IA12
Please look at this card and tell me which is closest.

CODES
1 LESS THAN $200 BOX IA32
2 $200 TO LESS THAN $500 BOX IA32
3 $500 TO LESS THAN $1,000 BOX IA32
4 $1,000 TO LESS THAN $2,500 BOX IA32
5 $2,500 OR MORE BOX IA32
DONT KNOW BOX IA32

IA30A

DISPLAY INSTRUCTIONS:
If PROXY flag <> 1 (YES), display “you” and “your”.
Otherwise, display “SP” and “{his/her}”.
Display “last month” in underlined text.

QUESTION TEXT:
Last month, how much altogether did {you/SP} receive or withdraw from {your/his/her} retirement accounts (for the month of {CURRENT MONTH – 1})?

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SP RETIREMENT ACCOUNT RECEIVED/WITHDRAWN AMOUNT

ENTER NUMBER

Range 0 to 99999
REFUSED
DON’T KNOW

PROGRAMMER INSTRUCTIONS:
Range: $0-$99,999
Do not display the phrase 'Enter Number'.
If IA30A = 0-99999, go to BOX IA31A.

**IA30B**

**CODES**

1. LESS THAN $100
2. $100 TO LESS THAN $300
3. $300 TO LESS THAN $700
4. $700 TO LESS THAN $1,700
5. $1,700 OR MORE
   - REFUSED
   - DON'T KNOW

**BOX IA31A**

**CODES**

If IA29 = 2 (ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY), go to IA31A.
Otherwise, go to BOX IA32.

**DISPLAY INSTRUCTIONS:**

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “you” and “your”.
Otherwise, display “[SPOUSE/PARTNER FIRSTNAME LASTNAME]” and “[his/her].

Display “Last month” in underlined text.

**QUESTION TEXT:**

Last month, how much altogether did [you][SPOUSE/PARTNER FIRSTNAME LASTNAME] receive or withdraw from [your/his/her] retirement accounts (for the month of [CURRENT MONTH – 1]):

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SPOUSE/PARTNER RETIREMENT ACCOUNT RECEIVED/Withdrawn AMOUNT

**ENTER NUMBER**

Range: 0 to 99,999
REFUSED
DON’T KNOW

**PROGRAMMER INSTRUCTIONS:**

Range: $0-$99,999
IA31b

**QUESTION TEXT:**

SHOW CARD IA13

Please look at this card and tell me which is closest.

**CODES**

1. LESS THAN $100
2. $100 TO LESS THAN $300
3. $300 TO LESS THAN $700
4. $700 TO LESS THAN $1,700
5. $1,700 OR MORE
   - REFUSED
   - DON'T KNOW

---

**BOX IA32**

**BOXIA32**

**NOT ON FILE**

If IA7 = 1 (SP HAS RETIREMENT ACCT) and 2 (SPOUSE HAS RETIREMENT ACCT), go to IA32.
Else if IA7 = 1 (SP HAS RETIREMENT ACCT), go to IA33A.
Else if IA7 = 2 (SPOUSE HAS RETIREMENT ACCT), go to IA34A.
Otherwise, go to BOX IA35.

---

**IA32**

**ia$tryrwdrw**

**R5 IA31B EST PARTNR WDR LST MTH**

**DISPLAY INSTRUCTIONS:**

If PROXY flag $= 1 (YES) or PROXY RELATIONSHIP $= 2 (SPOUSE/PARTNER), display “you”.
Otherwise, display “SP”.

If PROXY RELATIONSHIP $= 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME".
Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

Display “last year” in underlined text.

**QUESTION TEXT:**

Now thinking about all of last year (that is calendar year [CURRENT YEAR – 1]), how much altogether did [you/SP] and [SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME] receive or withdraw from all of these retirement accounts?

**IF NEEDED:** We don’t need an exact dollar amount.

**IF NEEDED:** We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

**CODES**

1. ENTER COMBINED AMOUNT
2. ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY

IA33A

IA32B

---

Page 28 of 51

NHATS Round 5 :: IA

4/8/2019
IA32A

ENTER COMBINED SP AND SPOUSE/PARTNER RETIREMENT ACCOUNT RECEIVED/WITHDRAWN AMOUNT

ENTER NUMBER

Range: 0 to 9999999

PROGRAMMER INSTRUCTIONS:

Range: $0-$9,999,999
Do not display the phrase 'Enter Number'.
If IA32A = 0-9999999, go to BOX IA35.

IA32B

SHOW CARD IA14

Please look at this card and tell me which is closest.

CODES

1  LESS THAN $2,400  BOX IA35
2  $2,400 TO LESS THAN $6,000  BOX IA35
3  $6,000 TO LESS THAN $12,000  BOX IA35
4  $12,000 TO LESS THAN $30,000  BOX IA35
5  $30,000 OR MORE  BOX IA35

IA33A

DISPLAY INSTRUCTIONS:

If PROXY flag <> 1 (YES), display “you” and “your”.
Otherwise, display “SP” and “{his/her}’”.

Display “last year” in underlined text.

QUESTION TEXT:

Now thinking about all of last year (that is calendar year [CURRENT YEAR – 1]), how much altogether did {you/SP} receive or withdraw from all of {your/his/her} retirement plans?

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SP RETIREMENT ACCOUNT RECEIVED/WITHDRAWN AMOUNT
ENTER NUMBER

Range 0 to 9999999
REFUSED
DON'T KNOW

PROGRAMMER INSTRUCTIONS:

Range: $0-$9,999,999
Do not display the phrase 'Enter Number'.
If IA33A = 0-9999999, go to BOX IA34A.

IA33B ia5tyrspest R5 IA33B EST SP RCVWDR RET LS YR

QUESTION TEXT:

SHOW CARD IA15

Please look at this card and tell me which is closest.

CODES

1 LESS THAN $1,200
2 $1,200 TO LESS THAN $3,600
3 $3,600 TO LESS THAN $8,400
4 $8,400 TO LESS THAN $20,400
5 $20,400 OR MORE
REFUSED
DON'T KNOW

BOX IA34A
BOX IA34A
NOT ON FILE

If IA32 = 2 (ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY), go to IA34A.
Otherwise, go to BOX IA35.

IA34A ia5tyrptamt R5 IA34A PARTNR RCVWDR RET LS YR

DISPLAY INSTRUCTIONS:

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “you” and “your”.
Otherwise, display “[SPOUSE/PARTNER FIRSTNAME LASTNAME]” and “[his/her]”.

Display “last year” in underlined text.

QUESTION TEXT:

Now thinking about all of last year (that is calendar year [CURRENT YEAR – 1]), how much altogether did
{you/{SPOUSE/PARTNER FIRSTNAME LASTNAME}} receive or withdraw from all of {your/his/her} retirement
plans?

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand
how people manage financially as they age and what effect this might have on their health.

ENTER SPOUSE/PARTNER RETIREMENT ACCOUNT RECEIVED/WITHDRAWN AMOUNT
ENTER NUMBER

Range
REFUSED
DON'T KNOW

PROGRAMMER INSTRUCTIONS:

Range: $0-$9,999,999
Do not display the phrase 'Enter Number'.
If IA34A = 0.9999999, go to BOX IA35.

IA34B

QUESTION TEXT:

SHOW CARD IA15
Please look at this card and tell me which is closest.

CODES

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>LESS THAN $1,200</td>
</tr>
<tr>
<td>2</td>
<td>$1,200 TO LESS THAN $3,600</td>
</tr>
<tr>
<td>3</td>
<td>$3,600 TO LESS THAN $8,400</td>
</tr>
<tr>
<td>4</td>
<td>$8,400 TO LESS THAN $20,400</td>
</tr>
<tr>
<td>5</td>
<td>$20,400 OR MORE REFUSED</td>
</tr>
<tr>
<td></td>
<td>DON'T KNOW</td>
</tr>
</tbody>
</table>

BOX IA35

BOX IA35

NOT ON FILE

IF [IA8 = 1 (SP MUTUAL FUNDS) AND IA8 = 2 (SPOUSE MUTUAL FUNDS)] OR IA8 = 3 (JOINT MUTUAL FUNDS) OR [IA9 = 1 (SP BONDS) AND IA9 = 2 (SPOUSE BONDS)] OR IA9 = 3 (JOINT BONDS), go to IA35.
Else if IA8 = 1 (SP MUTUAL FUNDS) OR IA9 = 1 (SP BONDS), go to IA36A.
Else if IA8 = 2 (SPOUSE MUTUAL FUNDS) OR IA9 = 2 (SPOUSE BONDS), go to IA37A.
Otherwise, go to BOX IA38.

IA35

DISPLAY INSTRUCTIONS:

If PROXY flag <> 1 (YES) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "you".
Otherwise, display "SP".

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME".
Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

If IA8 = 1 (SP MUTUAL FUNDS) OR 2 (SPOUSE MUTUAL FUNDS) OR 3 (JOINT MUTUAL FUNDS), display "mutual funds or stocks".
If IA9 = 1 (SP BONDS) OR 2 (SPOUSE BONDS) OR 3 (JOINT BONDS), display "government, corporate, or other bonds".

If more than one type of asset displayed, display "AND" between them.

QUESTION TEXT:

You told me earlier that [you/SP] and [SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME]
LASTNAME own {mutual funds or stocks} {government, corporate, or other bonds} that are not part of retirement accounts. About how much are these worth?

IF NEEDED: We don't need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

**CODES**

<table>
<thead>
<tr>
<th></th>
<th>ENTER COMBINED AMOUNT</th>
<th>IA35A</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY</td>
<td>IA36A</td>
</tr>
<tr>
<td>2</td>
<td>REFUSED</td>
<td>BOX IA35B</td>
</tr>
<tr>
<td></td>
<td>DON'T KNOW</td>
<td>BOX IA35B</td>
</tr>
</tbody>
</table>

**IA35A**

**IA35B**

**PROGRAMMER INSTRUCTIONS:**

Range: $1-$9,999,999

Do not display the phrase 'Enter Number'.

If IA35A = 1-9999999, go to BOX IA38.

**BOX IA35B**

**BOXIA35B**

**IA35B**

**IA35c**

**CODES**

<table>
<thead>
<tr>
<th></th>
<th>BOX IA38</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>LESS THAN $9,000</td>
</tr>
<tr>
<td>2</td>
<td>$9,000 TO LESS THAN $18,000</td>
</tr>
<tr>
<td>3</td>
<td>$18,000 TO LESS THAN $93,000</td>
</tr>
<tr>
<td>4</td>
<td>$93,000 TO LESS THAN $350,000</td>
</tr>
<tr>
<td>5</td>
<td>$350,000 OR MORE</td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
</tr>
<tr>
<td></td>
<td>DON'T KNOW</td>
</tr>
</tbody>
</table>
IA35C

**QUESTION TEXT:**

SHOW CARD IA17

Please look at this card and tell me which is closest.

**CODES**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
<th>Box IA38</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>LESS THAN $600</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>$600 TO LESS THAN $5,000</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>$5,000 TO LESS THAN $16,000</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>$16,000 TO LESS THAN $62,000</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>$62,000 OR MORE</td>
<td></td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
<td></td>
</tr>
<tr>
<td></td>
<td>DON'T KNOW</td>
<td></td>
</tr>
</tbody>
</table>

IA36A

**DISPLAY INSTRUCTIONS:**

If PROXY flag <> 1 (YES), display “you own”. Otherwise, display “SP owns”.

If IA8 = 1 (SP MUTUAL FUNDS), display “mutual funds or stocks”.

If IA9 = 1 (SP BONDS), display “government, corporate, or other bonds”.

If more than one type of asset displayed, display “and” between them.

**QUESTION TEXT:**

You told me earlier that {you own/SP owns} {mutual funds or stocks} {government, corporate, or other bonds} that are not part of retirement accounts. About how much are these worth?

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SP MUTUAL FUNDS/STOCKS/BONDS AMOUNT

**ENTER NUMBER**

<table>
<thead>
<tr>
<th>Range</th>
<th>1 to 9999999</th>
</tr>
</thead>
<tbody>
<tr>
<td>REFUSED</td>
<td></td>
</tr>
<tr>
<td>DON'T KNOW</td>
<td></td>
</tr>
</tbody>
</table>

**PROGRAMMER INSTRUCTIONS:**

Range: $1-$9,999,999

Do not display the phrase 'Enter Number'.

If IA36A = 1-9999999, go to BOX IA37A.

**BOX IA36b**

**BOXIA36b**

NOT ON FILE

If IA8 = 1 (SP MUTUAL FUNDS), go to IA36b.

Otherwise, go to IA36c.
**IA36B**  
IA5skbdspst  
R5 IA36B EST SP STKS BNDS WRTH

**QUESTION TEXT:**
SHOW CARD IA18
Please look at this card and tell me which is closest.

**CODES**

<table>
<thead>
<tr>
<th>CODE</th>
<th>DESCRIPTION</th>
<th>BOX</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>LESS THAN $8,000</td>
<td>IA37A</td>
</tr>
<tr>
<td>2</td>
<td>$8,000 TO LESS THAN $62,000</td>
<td>IA37A</td>
</tr>
<tr>
<td>3</td>
<td>$62,000 TO LESS THAN $192,000</td>
<td>IA37A</td>
</tr>
<tr>
<td>4</td>
<td>$192,000 TO LESS THAN $213,000</td>
<td>IA37A</td>
</tr>
<tr>
<td>5</td>
<td>$213,000 OR MORE</td>
<td>IA37A</td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
<td>IA37A</td>
</tr>
<tr>
<td></td>
<td>DON'T KNOW</td>
<td>IA37A</td>
</tr>
</tbody>
</table>

**IA36C**  
IA5bndspest  
R5 IA36C EST SP ONLY BNDS WRTH

**QUESTION TEXT:**
SHOW CARD IA19
Please look at this card and tell me which is closest.

**CODES**

<table>
<thead>
<tr>
<th>CODE</th>
<th>DESCRIPTION</th>
<th>BOX</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>LESS THAN $300</td>
<td>IA37A</td>
</tr>
<tr>
<td>2</td>
<td>$300 TO LESS THAN $2,500</td>
<td>IA37A</td>
</tr>
<tr>
<td>3</td>
<td>$2,500 TO LESS THAN $8,000</td>
<td>IA37A</td>
</tr>
<tr>
<td>4</td>
<td>$8,000 TO LESS THAN $37,000</td>
<td>IA37A</td>
</tr>
<tr>
<td>5</td>
<td>$37,000 OR MORE</td>
<td>IA37A</td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
<td>IA37A</td>
</tr>
<tr>
<td></td>
<td>DON'T KNOW</td>
<td>IA37A</td>
</tr>
</tbody>
</table>

**BOX IA37A**

**NOT ON FILE**

If IA8 = 2 (SPOUSE MUTUAL FUNDS) or IA9 = 2 (SPOUSE BONDS), go to IA37A.  
Otherwise, go to BOX IA38.

**IA37a**  
IA5skbdptwr1  
R5 IA37A PARTNR STKS BNDS WRTH

**DISPLAY INSTRUCTIONS:**
If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “you own”.  
Otherwise, display “[SPOUSE/PARTNER FIRSTNAME LASTNAME] owns”.

If IA8 = 2 (SPOUSE MUTUAL FUNDS), display “mutual funds or stocks”.  
If IA9 = 2 (SPOUSE BONDS), display “government, corporate, or other bonds”.

If more than one type of asset displayed, display “and” between each one.

**QUESTION TEXT:**
You told me earlier that [you own/[SPOUSE/PARTNER FIRSTNAME LASTNAME} owns] [mutual funds or stocks] [government, corporate, or other bonds] that are not part of retirement accounts. About how much are these worth?

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SPOUSE’S/PARTNER’S MUTUAL FUNDS/STOCKS/BONDS AMOUNT

ENTER NUMBER

<table>
<thead>
<tr>
<th>Range</th>
<th>Enter Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 to 9999999</td>
<td></td>
</tr>
<tr>
<td>REFUSED</td>
<td></td>
</tr>
<tr>
<td>DON’T KNOW</td>
<td></td>
</tr>
</tbody>
</table>

PROGRAMMER INSTRUCTIONS:

Range: $1-$9,999,999
Do not display the phrase 'Enter Number'.
If IA37A = 1-9999999, go to BOX IA38.

BOX IA37b

If IA8 = 2 (SPOUSE MUTUAL FUNDS), go to IA37b.
Otherwise, go to IA37c.

IA37b

QUESTION TEXT:

SHOW CARD IA18
Please look at this card and tell me which is closest.

CODES

<table>
<thead>
<tr>
<th>Code</th>
<th>Codes</th>
<th>Box</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>LESS THAN $8,000</td>
<td>IA38</td>
</tr>
<tr>
<td>2</td>
<td>$8,000 TO LESS THAN $62,000</td>
<td>IA38</td>
</tr>
<tr>
<td>3</td>
<td>$62,000 TO LESS THAN $192,000</td>
<td>IA38</td>
</tr>
<tr>
<td>4</td>
<td>$192,000 TO LESS THAN $213,000</td>
<td>IA38</td>
</tr>
<tr>
<td>5</td>
<td>$213,000 OR MORE</td>
<td>IA38</td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
<td>IA38</td>
</tr>
<tr>
<td></td>
<td>DON’T KNOW</td>
<td>IA38</td>
</tr>
</tbody>
</table>

IA37C

QUESTION TEXT:

SHOW CARD IA19
Please look at this card and tell me which is closest.

CODES

<table>
<thead>
<tr>
<th>Code</th>
<th>Codes</th>
<th>Box</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>LESS THAN $300</td>
<td>IA38</td>
</tr>
<tr>
<td>2</td>
<td>$300 TO LESS THAN $2,500</td>
<td>IA38</td>
</tr>
<tr>
<td></td>
<td>Amount</td>
<td></td>
</tr>
<tr>
<td>---</td>
<td>--------------------------------------------</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>$2,500 TO LESS THAN $8,000</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>$8,000 TO LESS THAN $37,000</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>$37,000 OR MORE</td>
<td></td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
<td></td>
</tr>
<tr>
<td></td>
<td>DON’T KNOW</td>
<td></td>
</tr>
</tbody>
</table>

**BOX IA38**

<table>
<thead>
<tr>
<th>Condition</th>
</tr>
</thead>
<tbody>
<tr>
<td>If [IA10A = 1 (SP CHECKING) and IA10A = 2 (SPOUSE CHECKING)] or IA10A = 3 (JOINT CHECKING) or</td>
</tr>
<tr>
<td>[IA10B = 1 (SP SAVINGS) and IA10B = 2 (SPOUSE SAVINGS)] or IA10B = 3 (JOINT SAVINGS), or</td>
</tr>
<tr>
<td>[IA10C = 1 (SP CDS) and IA10C = 2 (SPOUSE CDS)] or IA10C = 3 (JOINT CDS), go to IA38.</td>
</tr>
<tr>
<td>Else if IA10A = 1 (SP CHECKING) or IA10B = 1 (SP SAVINGS) or IA10C = 1 (SP CDS), go to IA39A.</td>
</tr>
<tr>
<td>Else if IA10A = 2 (SPOUSE CHECKING) or IA10B = 2 (SPOUSE SAVINGS) or IA10C = 2 (SPOUSE CDS), go to</td>
</tr>
<tr>
<td>IA40A.</td>
</tr>
<tr>
<td>Otherwise, go to BOX IA41.</td>
</tr>
</tbody>
</table>

**DISPLAY INSTRUCTIONS:**

If PROXY flag <> 1 (YES) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “you”. Otherwise, display “SP”.

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME". Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

If IA10A = 1 (SP CHECKING) or IA10A = 2 (SPOUSE CHECKING) or IA10A = 3 (JOINT CHECKING), display “checking accounts”.

If IA10B = 1 (SP SAVINGS) or IA10B = 2 (SPOUSE SAVINGS) or IA10B = 3 (JOINT SAVINGS), display “savings or money market accounts”.

If IA10C = 1 (SP CDS) or IA10C = 2 (SPOUSE CDS) or IA10C = 3 (JOINT CDS), display “certificates of deposit or CDs”.

If more than one type of account displayed, display “and” between each one.

Display “last month” in underlined text.

**QUESTION TEXT:**

You told me earlier that [you/SP] and {SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME} have {checking accounts} {savings or money market accounts} {certificates of deposit or CDs}.

If you added up all of these accounts, about how much were they worth early last month (meaning in the beginning of {CURRENT MONTH – 1})?

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

**CODES**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
<th>Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>ENTER COMBINED AMOUNT</td>
<td>IA39A</td>
</tr>
<tr>
<td>2</td>
<td>ENTER SP AND SPOUSE/PARTNER AMOUNT</td>
<td>BOX IA38B</td>
</tr>
<tr>
<td></td>
<td>SEPARATELY REFUSED</td>
<td></td>
</tr>
<tr>
<td></td>
<td>BOX IA38B</td>
<td></td>
</tr>
<tr>
<td></td>
<td>BOX IA38B</td>
<td></td>
</tr>
</tbody>
</table>
**IA38A**

**QUESTION TEXT:**

ENTER COMBINED SP AND SPOUSE/PARTNER ACCOUNTS TOTAL AMOUNT

**ENTER NUMBER**

Range 1 to 9999999

**PROGRAMMER INSTRUCTIONS:**

Range: $1-$9,999,999
Do not display the phrase 'Enter Number'.
If IA38A = 1-9,999,999, go to BOX IA41.

**BOX IA38B**

**QUESTION TEXT:**

SHOW CARD IA20

Please look at this card and tell me which is closest.

**CODES**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
<th>BOX IA41</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>LESS THAN $11,000</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>$11,000 TO LESS THAN $25,000</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>$25,000 TO LESS THAN $50,000</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>$50,000 TO LESS THAN $108,000</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>$108,000 OR MORE</td>
<td></td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
<td></td>
</tr>
<tr>
<td></td>
<td>DON’T KNOW</td>
<td></td>
</tr>
</tbody>
</table>

**IA38C**

**QUESTION TEXT:**

SHOW CARD IA21

Please look at this card and tell me which is closest.

**CODES**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
<th>BOX IA41</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>LESS THAN $2,000</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>$2,000 TO LESS THAN $7,000</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>$7,000 TO LESS THAN $17,000</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>$17,000 TO LESS THAN $57,000</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>$57,000 OR MORE</td>
<td></td>
</tr>
</tbody>
</table>
DISPLAY INSTRUCTIONS:

If PROXY flag <> 1 (YES), display “you have”. Otherwise, display “SP has”.

If IA10A = 1 (SP CHECKING), display “a checking account”.
If IA10B = 1 (SP SAVINGS), display “a savings or money market account”.
If IA10C = 1 (SP CDs), display “certificates of deposit or CDs”.

If more than one type of account displayed, display “and” between each one.

Display “last month” in underlined text.

QUESTION TEXT:

You told me earlier that {you have}/SP has} {a checking account} {a savings or money market account} {certificates of deposit or CDs}.

If you added up all of these accounts, about how much were they worth early last month (meaning in the beginning of {CURRENT MONTH – 1})?

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SP ACCOUNTS TOTAL AMOUNT

ENTER NUMBER

Range 1 to 9999999

REFUSED

DON’T KNOW

PROGRAMMER INSTRUCTIONS:

Range: $1-$9,999,999
Do not display the phrase ‘Enter Number’.
If IA39A = $9,999,999, go to BOX IA40A.

BOX IA39B

BOX IA39B

NOT ON FILE

If IA10C = 1 (SP CDs), go to IA39B.
Otherwise, go to IA39c.

IA39B

ia5bkcdspest

R5 IA39B SP EST BNK ACCT CD WRTH

QUESTION TEXT:

SHOW CARD IA22

Please look at this card and tell me which is closest.
Please look at this card and tell me which is closest.

**CODES**

<table>
<thead>
<tr>
<th></th>
<th></th>
<th>BOX IA40A</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>LESS THAN $8,000</td>
<td>BOX IA40A</td>
</tr>
<tr>
<td>2</td>
<td>$8,000 TO LESS THAN $13,000</td>
<td>BOX IA40A</td>
</tr>
<tr>
<td>3</td>
<td>$13,000 TO LESS THAN $28,000</td>
<td>BOX IA40A</td>
</tr>
<tr>
<td>4</td>
<td>$28,000 TO LESS THAN $54,000</td>
<td>BOX IA40A</td>
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<tr>
<td>5</td>
<td>$54,000 OR MORE</td>
<td>BOX IA40A</td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
<td>BOX IA40A</td>
</tr>
<tr>
<td></td>
<td>DON'T KNOW</td>
<td>BOX IA40A</td>
</tr>
</tbody>
</table>

**IA39C**

**QUESTION TEXT:**

SHOW CARD IA23

Please look at this card and tell me which is closest.

**CODES**

<p>| | | |</p>
<table>
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<th></th>
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<td>3</td>
<td>$1,900 TO LESS THAN $5,000</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>$5,000 TO LESS THAN $20,000</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>$20,000 OR MORE</td>
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</tr>
<tr>
<td></td>
<td>REFUSED</td>
<td></td>
</tr>
<tr>
<td></td>
<td>DON'T KNOW</td>
<td></td>
</tr>
</tbody>
</table>

**BOX IA40A**

**BOXIA40A**

NOT ON FILE

If IA10A = 2 (SPOUSE CHECKING) or IA10B = 2 (SPOUSE SAVINGS) or IA10C = 2 (SPOUSE CDS), go to IA40A. Otherwise, go to BOX IA41.

**IA40A**

**ia5bkcdptwrt**

R5 IA40A PARTNR BNK ACCT CD WRTH

**DISPLAY INSTRUCTIONS:**

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “you have”. Otherwise, display “[SPOUSE/PARTNER FIRSTNAME LASTNAME] has”.

If IA10A = 2 (SPOUSE CHECKING), display “a checking account”.
If IA10B = 2 (SPOUSE SAVINGS), display “a savings or money market account”.
If IA10C = 2 (SPOUSE CDS), display “certificates of deposit or CDs”.

If more than one type of account displayed, display “and” between each one.

Display “last month” in underlined text.

**QUESTION TEXT:**

You told me earlier that {you have/[SPOUSE/PARTNER FIRSTNAME LASTNAME] has} {a checking account} {a savings or money market account} {certificates of deposit or CDs}.

If you added up all of these accounts, about how much were they worth early last month (meaning in the beginning of {CURRENT MONTH – 1})?

IF NEEDED: We don’t need an exact dollar amount.
IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SPOUSE/PARTNER ACCOUNTS TOTAL AMOUNT

ENTER NUMBER

Range: 1 to 9999999
- REFUSED
- DON'T KNOW

PROGRAMMER INSTRUCTIONS:
Range: $1-$9,999,999
Do not display the phrase 'Enter Number'.
If IA40A = 1-9,999999, go to BOX IA41.

BOX IA40B

If IA10C = 2 (SPOUSE CDS), go to IA40B.
Otherwise, go to IA40C.

IA40B

ia5bkcdptest

R5 IA40B EST PARTN BRK CD WRTH

QUESTION TEXT:

SHOW CARD IA22
Please look at this card and tell me which is closest.

CODES

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
<th>Box IA41A</th>
</tr>
</thead>
<tbody>
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<td>BOX IA41A</td>
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<td>BOX IA41A</td>
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<td>3</td>
<td>$13,000 TO LESS THAN $28,000</td>
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<tr>
<td>4</td>
<td>$28,000 TO LESS THAN $54,000</td>
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<tr>
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<td>$54,000 OR MORE</td>
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<td>BOX IA41A</td>
</tr>
<tr>
<td></td>
<td>DON'T KNOW</td>
<td>BOX IA41A</td>
</tr>
</tbody>
</table>

IA40C

ia5bnkptest

R5 IA40C EST PARTN BRK NO CD WRTH

QUESTION TEXT:

SHOW CARD IA23
Please look at this card and tell me which is closest.

CODES

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
<th>Box IA41A</th>
</tr>
</thead>
<tbody>
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<td>BOX IA41A</td>
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<tr>
<td>2</td>
<td>$500 TO LESS THAN $1,900</td>
<td>BOX IA41A</td>
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<tr>
<td>3</td>
<td>$1,900 TO LESS THAN $5,000</td>
<td>BOX IA41A</td>
</tr>
<tr>
<td>4</td>
<td>$5,000 TO LESS THAN $20,000</td>
<td>BOX IA41A</td>
</tr>
<tr>
<td>5</td>
<td>$20,000 OR MORE</td>
<td>BOX IA41A</td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
<td>BOX IA41A</td>
</tr>
<tr>
<td></td>
<td>DON'T KNOW</td>
<td>BOX IA41A</td>
</tr>
</tbody>
</table>
If [IA8 = 1 (SP MUTUAL FUNDS) and IA8 = 3 (SPouse MUTUAL FUNDS)] or IA8 = 3 (JOINT MUTUAL FUNDS) or [IA9 = 1 (SP BONDS) and IA9 = 2 (SPouse BONDS)] or IA9 = 3 (JOINT BONDS), or [IA10A = 1 (SP CHECKING) and IA10A = 2 (SPouse CHECKING)] or IA10A = 3 (JOINT CHECKING) or [IA10B = 1 (SP SAVINGS) and IA10B = 2 (SPouse SAVINGS)] or IA10B = 3 (JOINT SAVINGS), or [IA10C = 1 (SP CDS) and IA10C = 2 (SPouse CDS)] or IA10C = 3 (JOINT CDS), go to IA41.
Else if IA8 = 1 (SP MUTUAL FUNDS) or IA9 = 1 (SP BONDS) or IA10A = 1 (SP CHECKING) or IA10B = 1 (SP SAVINGS) or IA10C = 1 (SP CDS), go to IA42A.
Else if IA8 = 2 (SPouse MUTUAL FUNDS) or IA9 = 2 (SPouse BONDS) or IA10A = 2 (SPouse CHECKING) or IA10B = 2 (SPouse SAVINGS) or IA10C = 2 (SPouse CDS), go to IA43A.
Otherwise, go to BOX IA44.

DISPLAY INSTRUCTIONS:

If PROxy flag <> 1 (YES) or PROxy RELATIONSHIP = 2 (SPouse/PARTNER), display “you”.
Otherwise, display “SP”.

If PROxy RELATIONSHIP = 2 (SPouse/PARTNER), display "SP FIRSTNAME LASTNAME".
Otherwise, display "SPouse/PARTNER FIRSTNAME LASTNAME".

If IA8 = 1 (SP MUTUAL FUNDS) or 2 (SPouse MUTUAL FUNDS) or 3 (JOINT MUTUAL FUNDS), display “mutual funds or stocks”.
If IA9 = 1 (SP BONDS) or 2 (SPouse BONDS) or 3 (JOINT BONDS), display “government, corporate, or other bonds”.
If [IA10A = 1 (SP CHECKING) or 2 (SPouse CHECKING) or 3 (JOINT CHECKING)] or [IA10B = 1 (SP SAVINGS) or 2 (SPouse SAVINGS) or 3 (JOINT SAVINGS)] or [IA10C = 1 (SP CDS) or 2 (SPouse CDS) or 3 (JOINT CDS)], display “bank accounts or CDs”.

If more than one type of asset displayed, display “and” between each one.

Display “In the last year” in underlined text.

QUESTION TEXT:

In the last year, how much interest and dividend income did {you/SP} and {SP FIRSTNAME LASTNAME/SPouse/PARTNER FIRSTNAME LASTNAME} have altogether from {mutual funds or stocks} {government, corporate, or other bonds} {bank accounts or CDs}?

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

CODES

1 ENTER COMBINED AMOUNT IA42A
2 ENTER SP AND SPouse/PARTNER AMOUNTS SEPARATELY IA41A
REFUSED IA41B
DON’T KNOW IA41B
QUESTION TEXT:

ENTER SP AND SPOUSE’S/PARTNER’S INTEREST AND DIVIDEND INCOME AMOUNT

ENTER NUMBER

Range 0 to 99999

PROGRAMMER INSTRUCTIONS:

Range: $0-$99,999
Do not display the phrase ‘Enter Number’.
If IA41A = 0-99999, go to BOX IA44.

IA41B

QUESTION TEXT:

SHOW CARD IA24

Please look at this card and tell me which is closest.

CODES

1 LESS THAN $200 BOX IA44
2 $200 TO LESS THAN $1,000 BOX IA44
3 $1,000 TO LESS THAN $4,000 BOX IA44
4 $4,000 TO LESS THAN $16,000 BOX IA44
5 $16,000 OR MORE BOX IA44
REFUSED BOX IA44
DON’T KNOW BOX IA44

IA42A

DISPLAY INSTRUCTIONS:

If PROXY flag <> 1 (YES), display “you”.
Otherwise, display “SP”.

If IA8 = 1 (SP MUTUAL FUNDS), display “mutual funds or stocks”.
If IA9 = 1 (SP BONDS), display “bonds”.
If IA10A = 1 (SP CHECKING) or IA10B = 1 (SP SAVINGS) or IA10C = 1 (SP CDS), display “bank accounts or CDs”.

If more than one type of asset displayed, display “and” between each one.

Display “In the last year” in underlined text.

QUESTION TEXT:

In the last year, how much interest and dividend income did [you/SP] have altogether from [mutual funds or stocks] [bonds] [bank accounts or CDs]?

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SP INTEREST AND DIVIDEND INCOME AMOUNT
EVENT: ENTER NUMBER

Range: 0 to 9999

REFUSED
DON'T KNOW

PROGRAMMER INSTRUCTIONS:

Range: $0-$9,999
Do not display the phrase 'Enter Number'.
If IA42A = 0-9999, go to BOX IA43A.

<table>
<thead>
<tr>
<th>IA42B</th>
<th>ia5itdvspet</th>
<th>R5 IA42B EST SP INTDIV INC LS YR</th>
</tr>
</thead>
</table>

**QUESTION TEXT:**

Please look at this card and tell me which is closest.

**CODES**

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>LESS THAN $400</td>
</tr>
<tr>
<td>2</td>
<td>$400 TO LESS THAN $1,000</td>
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<tr>
<td>3</td>
<td>$1,000 TO LESS THAN $2,000</td>
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<td>$2,000 TO LESS THAN $11,000</td>
</tr>
<tr>
<td>5</td>
<td>$11,000 OR MORE</td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
</tr>
<tr>
<td></td>
<td>DON'T KNOW</td>
</tr>
</tbody>
</table>

**BOX IA43A**

<table>
<thead>
<tr>
<th>BOXIA43A</th>
<th>NOT ON FILE</th>
</tr>
</thead>
</table>

**DISPLAY INSTRUCTIONS:**

If IA8 = 2 (SPOUSE MUTUAL FUNDS) or IA9 = 2 (SPOUSE BONDS) or IA10A = 2 (SPOUSE CHECKING) or IA10B = 2 (SPOUSE SAVINGS) or IA10C = 2 (SPOUSE CDS), go to IA43A
Otherwise, go to BOX IA44.

<table>
<thead>
<tr>
<th>IA43A</th>
<th>ia5itdvpamt</th>
<th>R5 IA43A PART INT DIV INC LS YR</th>
</tr>
</thead>
</table>

**DISPLAY INSTRUCTIONS:**

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “you”.
Otherwise, display “[SPOUSE/PARTNER FIRSTNAME LASTNAME]”.

If IA8 = 2 (SPOUSE MUTUAL FUNDS) display “mutual funds or stocks”.
If IA9 = 2 (SPOUSE BONDS), display “bonds”.
If IA10A = 2 (SPOUSE CHECKING) or IA10B = 2 (SPOUSE SAVINGS) or IA10C = 2 (SPOUSE CDS), display “bank accounts or CDs”.

If more than one type of asset displayed, display “and” between each one.

Display “in the last year” in underlined text.

**QUESTION TEXT:**

In the last year, how much interest and dividend income did [you][SPOUSE/PARTNER FIRSTNAME LASTNAME] have altogether from [mutual funds or stocks] [bonds] [bank accounts or CDs]?
IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SPOUSE/PARTNER INTEREST AND DIVIDEND INCOME AMOUNT

ENTER NUMBER

Range 0 to 9999

REFUSED

DON’T KNOW

PROGRAMMER INSTRUCTIONS:

Range: $0-$9,999

Do not display the phrase 'Enter Number'.

If IA43A = 0-9999, go to BOX IA44.

IA43B ia5itdvptest R5 IA43B ESTPRT INT DV INC LS YR

QUESTION TEXT:

SHOW CARD IA25

Please look at this card and tell me which is closest.

CODES

1 LESS THAN $400

2 $400 TO LESS THAN $1,000

3 $1,000 TO LESS THAN $2,000

4 $2,000 TO LESS THAN $11,000

5 $11,000 OR MORE

REFUSED

DON’T KNOW

BOX IA44

BOX IA44 NOT ON FILE

If [IA13 = 1 (SP BUSINESS/FARM/REAL ESTATE) and IA13 = 2 (SPOUSE BUSINESS/FARM/REAL ESTATE)] or IA13 = 3 (JOINT BUSINESS/FARM/REAL ESTATE), go to IA44.

Else if IA13 = 1 (SP BUSINESS/FARM/REAL ESTATE), go to IA45A.

Else if IA13 = 2 (SPOUSE BUSINESS/FARM/REAL ESTATE), go to IA46A.

Otherwise, go to BOX IA47.

IA44 ia5brewrt R5 IA44 BUSINESS REALESTATE WRTH

DISPLAY INSTRUCTIONS:

If PROXY flag <> 1 (YES) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “you”.

Otherwise, display “SP”.

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME".

Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

If HP1 = 1 (OWNS HOME), display “other than {SP}’s home”.

Page 44 of 51

NHATS Round 5 ::IA

4/8/2019
QUESTION TEXT:
You told me earlier that [you/SP] and [SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME] have a business, a farm, or real estate (other than [SP]'s home). If that were sold today and any debts on it were paid off, about how much would it bring?

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

CODES
1 ENTER COMBINED AMOUNT IA45A
2 ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY IA44A
REFUSED IA44B
DON’T KNOW IA44B

IA44A RATE IA44A JOIN BUS REAL WRTH

QUESTION TEXT:
ENTER SP AND SPOUSE/PARTNER BUSINESS/FARM/REAL ESTATE COMBINED AMOUNT

ENTER NUMBER
Range 0 to 99999999

PROGRAMMER INSTRUCTIONS:
Range: $0-$99,999,999
Do not display the phrase ‘Enter Number’.
If IA44A = 0-99999999, go to BOX IA47.

IA44B RATE IA44B JOIN BUS REAL WRTH

QUESTION TEXT:
SHOW CARD IA26

Please look at this card and tell me which is closest.

CODES
1 LESS THAN $30,000 BOX IA47
2 $30,000 TO LESS THAN $101,000 BOX IA47
3 $101,000 TO LESS THAN $247,000 BOX IA47
4 $247,000 TO LESS THAN $703,000 BOX IA47
5 $703,000 OR MORE BOX IA47
REFUSED BOX IA47
DON’T KNOW BOX IA47

IA45A RATE IA45A SP_BUS REAL WRTH

DISPLAY INSTRUCTIONS:
If PROXY flag <> 1 (YES), display “you have”. Otherwise, display “SP has”.

If HP1 = 1 (OWNS HOME), display “other than {SP}'s home”

**QUESTION TEXT:**

You told me earlier that {you have/SP has} a business, a farm, or real estate {other than {SP}'s home}. If that were sold today and any debts on it were paid off, about how much would it bring?

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

**ENTER SP BUSINESS/FARM/REAL ESTATE AMOUNT**

**ENTER NUMBER**

Range: 0 to 99999999
REFUSED
DON'T KNOW

**PROGRAMMER INSTRUCTIONS:**

Range: $0-$99,999,999
Do not display the phrase 'Enter Number'.
If IA45A = 0-99999999, go to BOX IA46A.

**IA45B**

**QUESTION TEXT:**

SHOW CARD IA27

Please look at this card and tell me which is closest.

**CODES**

1 LESS THAN $18,000
2 $18,000 TO LESS THAN $68,000
3 $68,000 TO LESS THAN $122,000
4 $122,000 TO LESS THAN $293,000
5 $293,000 OR MORE
REFUSED
DON'T KNOW

**BOX IA46A**

**DISPLAY INSTRUCTIONS:**

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “you have”.
Otherwise, display “{SPOUSE/PARTNER FIRSTNAME LASTNAME} has”.

If IA13 = 2 (SPOUSE BUSINESS/FARM/REAL ESTATE), go to IA46A.
Otherwise, go to BOX IA47.
If HP1 = 1 (OWNS HOME), display “other than [SP]’s home”

**QUESTION TEXT:**
You told me earlier that [you have/[SPOUSE/PARTNER FIRSTNAME LASTNAME] has] a business, a farm, or real estate [other than [SP]’s home]. If that were sold today and any debts on it were paid off, about how much would it bring?

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SPOUSE/PARTNER BUSINESS/FARM/REAL ESTATE AMOUNT

**ENTER NUMBER**
Range 0 to 99999999
REFUSED DON’T KNOW

**PROGRAMMER INSTRUCTIONS:**
Range: $0-$99,999,999
Do not display the phrase 'Enter Number'.
If IA46A = 0-99999999, go to BOX IA47.

**IA46B**

**question text:**

SHOW CARD IA27
Please look at this card and tell me which is closest.

**CODES**

1 LESS THAN $18,000
2 $18,000 TO LESS THAN $68,000
3 $68,000 TO LESS THAN $122,000
4 $122,000 TO LESS THAN $293,000
5 $293,000 OR MORE
REFUSED DON’T KNOW

**BOX IA47**

**display instructions:**

If [IA13 = 1 (SP BUSINESS/FARM/REAL ESTATE) and IA13 = 2 (SPOUSE BUSINESS/FARM/REAL ESTATE)] or IA13 = 3 (JOINT BUSINESS/FARM/REAL ESTATE), go to IA47.
Else if IA13 = 1 (SP BUSINESS/FARM/REAL ESTATE), go to IA48A.
Else if IA13 = 2 (SPOUSE BUSINESS/FARM/REAL ESTATE), go to IA49A.
Otherwise, go to IA50.
If PROXY flag <> 1 (YES) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “you”.
Otherwise, display “SP”.

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME”.
Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME”.

Display “In the last year” in underlined text.

**QUESTION TEXT:**

In the last year altogether, how much income did {you/SP} and [SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME] receive from these businesses or property before any federal or state taxes were taken out?

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

<table>
<thead>
<tr>
<th>CODES</th>
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<tbody>
<tr>
<td>1</td>
<td>ENTER COMBINED AMOUNT IA48A</td>
</tr>
<tr>
<td>2</td>
<td>ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY IA48A</td>
</tr>
<tr>
<td></td>
<td>REFUSED IA47B</td>
</tr>
<tr>
<td></td>
<td>DON'T KNOW IA47B</td>
</tr>
</tbody>
</table>

**QUESTION TEXT:**

ENTER SP AND SPOUSE/PARTNER FROM BUSINESS/FARM-REAL ESTATE COMBINED AMOUNT

ENTER NUMBER

Range 0 to 99999999

**PROGRAMMER INSTRUCTIONS:**

Range: $0-$99,999,999

Do not display the phrase 'Enter Number'.
If IA47A = 0-99999999, go to BOX IA50.

<table>
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<tr>
<th>CODES</th>
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<tbody>
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<td>LESS THAN $3,600 IA50</td>
</tr>
<tr>
<td>2</td>
<td>$3,600 TO LESS THAN $12,000 IA50</td>
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<tr>
<td>3</td>
<td>$12,000 TO LESS THAN $25,000 IA50</td>
</tr>
<tr>
<td>4</td>
<td>$25,000 TO LESS THAN $64,000 IA50</td>
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<tr>
<td>5</td>
<td>$64,000 OR MORE IA50</td>
</tr>
<tr>
<td></td>
<td>REFUSED IA50</td>
</tr>
</tbody>
</table>

SHOW CARD IA28

Please look at this card and tell me which is closest.
**DISPLAY INSTRUCTIONS:**

If PROXY flag <> 1 (YES), display “you”.
Otherwise, display “SP”.

Display “In the last year” in underlined text.

**QUESTION TEXT:**

In the last year altogether, how much income did {you/SP} receive from these businesses or property before any federal or state taxes were taken out?

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SP BUSINESS/FARM/REAL ESTATE AMOUNT

**ENTER NUMBER**

Range: 0 to 99999999

REFUSED

DON’T KNOW

**PROGRAMMER INSTRUCTIONS:**

Range: $0-$99,999,999

Do not display the phrase 'Enter Number'.

If IA48A = 0-99999999, go to BOX IA49A.

**IA48B**

**QUESTION TEXT:**

SHOW CARD IA29

Please look at this card and tell me which is closest.

**CODES**

1 LESS THAN $3,600
2 $3,600 TO LESS THAN $7,200
3 $7,200 TO LESS THAN $14,000
4 $14,000 TO LESS THAN $38,000
5 $38,000 OR MORE

REFUSED

DON’T KNOW

**BOX IA49A**

If IA13 = 2 (SPOUSE BUSINESS/FARM/REAL ESTATE), go to IA49A.
Otherwise, go to IA50.
DISPLAY INSTRUCTIONS:

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "you".
Otherwise, display "{SPOUSE/PARTNER FIRSTNAME LASTNAME}".

Display "in the last year" in underlined text.

QUESTION TEXT:

In the last year altogether, how much income did {you}/[SPOUSE/PARTNER FIRSTNAME LASTNAME] receive from these businesses or property before any federal or state taxes were taken out?

IF NEEDED: We don’t need an exact dollar amount.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SPOUSE/PARTNER BUSINESS/FARM/REAL ESTATE AMOUNT

ENTER NUMBER

<table>
<thead>
<tr>
<th>Range</th>
<th>REFUSED</th>
<th>DON’T KNOW</th>
</tr>
</thead>
<tbody>
<tr>
<td>0 to 9999999</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

PROGRAMMER INSTRUCTIONS:

Range: $0-$99,999,999
Do not display the phrase 'Enter Number'.
If IA49A = 0-99999999, go to BOX IA50.

IA49B

QUESTION TEXT:

SHOW CARD IA29

Please look at this card and tell me which is closest.

CODES

| 1 | LESS THAN $3,600 |
| 2 | $3,600 TO LESS THAN $7,200 |
| 3 | $7,200 TO LESS THAN $14,000 |
| 4 | $14,000 TO LESS THAN $38,000 |
| 5 | $38,000 OR MORE |
|    | REFUSED |
|    | DON’T KNOW |

IA50

| ia5totincjt | R5 IA50 JOINT TOTAL INCOME |
| ia5toincmjf | R5 F IMPUTED JOINT TOTAL INC FLG |
| ia5toincmj1 | R5 IA50 IMPUTED JOINT TOTAL INC1 |
| ia5toincmj2 | R5 IA50 IMPUTED JOINT TOTAL INC2 |
| ia5toincmj3 | R5 IA50 IMPUTED JOINT TOTAL INC3 |
| ia5toincmj4 | R5 IA50 IMPUTED JOINT TOTAL INC4 |
DISPLAY INSTRUCTIONS:

If PROXY flag <> 1 (YES) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “your”. Otherwise, display “SP’s”.

If SP MARITAL STATUS = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display "and {SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME}’s". If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME". Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

If IA1 = 1 (SP SS/RR) or 2 (SPOUSE SS/RR), display “Social Security or Railroad Retirement”.
If IA4 = 1 (SP SSI) or 2 (SPOUSE SSI), display “Supplemental Security Income”.
If IA5 = 1 (SP VA) or 2 (SPOUSE VA), display “the Veteran’s Administration”.
If IA6 = 1 (SP PENSION) or 2 (SPOUSE PENSION), display “a pension plan”.
If IA7 = 1 (SP RETIREMENT ACCT) or 2 (SPOUSE RETIREMENT ACCT), display “any retirement accounts”.
If IA8 = 1 (SP MUTUAL FUNDS), 2 (SPOUSE MUTUAL FUNDS) or 3 (JOINT MUTUAL FUNDS), display “mutual funds or stocks”.
If IA9 = 1 (SP BONDS), 2 (SPOUSE BONDS) or 3 (JOINT BONDS), display “bonds”.
If IA10A = 1 (SP CHECKING), 2 (SPOUSE CHECKING) or 3 (JOINT CHECKING) or IA10B = 1 (SP SAVINGS), 2 (SPOUSE SAVINGS) or 3 (JOINT SAVINGS), display “bank accounts”.
If IA10C = 1 (SP CDS), 2 (SPOUSE CDS) or 3 (JOINT CDS), display “CDs”.
If IA13 = 1 (SP REAL ESTATE), 2 (SPOUSE REAL ESTATE) or 3 (JOINT REAL ESTATE), display “business, farm, or real estate”.
If LF1 = 1 (SP WORK LAST WEEK) or LF2 = 1 (SP HAD JOB LAST WEEK) or LF3 = 1 (SP WORK LAST MONTH) or LF3 = 1 (SPOUSE WORK LAST MONTH), display “jobs”.

If more than one income source displayed, display “and” between each one.

Display “last year” in underlined text.

QUESTION TEXT:

Now I want to ask about {your/SP’s} {and SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME}’s total income for last year, that is, for the calendar year ending in December {CURRENT YEAR - 1} before any federal or state taxes were taken out.

Now think about that total income from:
{Social Security or Railroad Retirement} {Supplemental Security Income}
{the Veteran’s Administration}
{a pension plan} {any retirement accounts}
{mutual funds or stocks} {bonds}
{bank accounts} {CDs} {business, farm or real estate}
{jobs} and from any other sources.

How much was {your/SP’s} {and SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME}’s total income before taxes for last year (this is, for the 12 months ending in December {CURRENT YEAR - 1})?

IF NEEDED: We don’t need an exact dollar amount – the nearest $1,000 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER TOTAL INCOME FOR LAST YEAR [SECTION CO]

ENTER NUMBER

Range

0 to 99999999

REFUSED

DON’T KNOW
PROGRAMMER INSTRUCTIONS:
Range: $0-$99,999,999
Do not display the phrase 'Enter Number'.
If IA50 = 0-99,999,999, go to Section CO.

BOX IA51A

If SP MARITAL STATUS=1 (MARRIED) or 2 (LIVING WITH A PARTNER), go to IA51A.
Otherwise, go to IA51B.

IA51A

ia5toincesjt R5 IA51A JOINT EST TOT INCOME
ia5eincimf R5 F IMPTD JOINT EST TOT INC FLG
ia5eincimj1 R5 IA51A IMP EST JOINT TOT INC1
ia5eincimj2 R5 IA51A IMP EST JOINT TOT INC2
ia5eincimj3 R5 IA51A IMP EST JOINT TOT INC3
ia5eincimj4 R5 IA51A IMP EST JOINT TOT INC4
ia5eincimj5 R5 IA51A IMP EST JOINT TOT INC5

QUESTION TEXT:
SHOW CARD IA30
Please look at this card and tell me which is closest.

CODES

<table>
<thead>
<tr>
<th></th>
<th></th>
<th>IA51A</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>LESS THAN $30,000</td>
<td>SA14</td>
<td>SECTION CO</td>
</tr>
<tr>
<td>2</td>
<td>$30,000 TO LESS THAN $43,000</td>
<td>IA51A</td>
<td>SECTION CO</td>
</tr>
<tr>
<td>3</td>
<td>$43,000 TO LESS THAN $66,000</td>
<td>IA51A</td>
<td>SECTION CO</td>
</tr>
<tr>
<td>4</td>
<td>$66,000 TO LESS THAN $109,000</td>
<td>IA51A</td>
<td>SECTION CO</td>
</tr>
<tr>
<td>5</td>
<td>$109,000 OR MORE</td>
<td>IA51A</td>
<td>SECTION CO</td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
<td>IA51A</td>
<td>SECTION CO</td>
</tr>
<tr>
<td></td>
<td>DON’T KNOW</td>
<td>IA51A</td>
<td>SECTION CO</td>
</tr>
</tbody>
</table>

IA51B

ia5toincessg R5 IA51B SINGLE EST TOT INC
ia5eincimsf R5 F IMPTD SGL EST TOT INC FLG
ia5eincims1 R5 IA51B IMP EST SP SGL TOT INC1
ia5eincims2 R5 IA51B IMP EST SP SGL TOT INC2
ia5eincims3 R5 IA51B IMP EST SP SGL TOT INC3
ia5eincims4 R5 IA51B IMP EST SP SGL TOT INC4
ia5eincims5 R5 IA51B IMP EST SP SGL TOT INC5

QUESTION TEXT:
SHOW CARD IA31
Please look at this card and tell me which is closest.
CODES
1  LESS THAN $18,000
2  $18,000 TO LESS THAN $22,000
3  $22,000 TO LESS THAN $36,000
4  $36,000 TO LESS THAN $56,000
5  $56,000 OR MORE
   REFUSED
   DON'T KNOW

PROGRAMMER INSTRUCTIONS:
   Go to Section CO – Car Ownership.