We are interested in how people are getting along financially these days. The next few questions are about income and other resources. Your responses can help us understand how people manage financially as they age.

Please feel free to refer to any records or other persons that may be of assistance in answering these questions.

Many of these questions ask about “last month.” By last month, I mean in {CURRENT MONTH – 1}.

PRESS 1 AND ENTER TO CONTINUE

DISPLAY INSTRUCTIONS:

If PROXY flag = 2 (no) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "you".
Otherwise, display "SP".

If HH1 = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display "or [SP FIRSTNAME LASTNAME/SPouse/PARTNER FIRSTNAME LASTNAME]".
If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME".
Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

If HH1 = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display “SELECT ALL THAT APPLY” and response option 2, “YES, SPOUSE/PARTNER RECEIVED PAYMENT FROM SOURCE”.
Display “in the last month” in bold underlined text.

QUESTION TEXT:

Did {you/SP} or [SP FIRSTNAME LASTNAME/SPouse/PARTNER FIRSTNAME LASTNAME] receive Social Security retirement and/or Railroad Retirement payments in the last month (that is in {CURRENT MONTH – 1})?  

IF NEEDED: These checks are either automatically deposited in the bank or mailed to arrive on the 3rd of every month. If mailed, they are often sent in gold or manila-colored envelopes.

{SELECT ALL THAT APPLY}

CODES

<table>
<thead>
<tr>
<th>Code</th>
<th>Code All That Apply</th>
<th>IA4</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>YES, SP RECEIVED PAYMENT FROM SOURCE</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>YES, SPOUSE/PARTNER RECEIVED PAYMENT FROM SOURCE</td>
<td>IA4</td>
</tr>
<tr>
<td>3</td>
<td>NO PAYMENT RECEIVED FROM THIS SOURCE</td>
<td>IA4</td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
<td>IA4</td>
</tr>
<tr>
<td></td>
<td>DON'T KNOW</td>
<td>IA4</td>
</tr>
</tbody>
</table>
PROGRAMMER INSTRUCTIONS:
Allow code all that apply.
If 3 (NO PAYMENT) selected and [1 (SP PAYMENT) or 2 (SPOUSE PAYMENT)] is selected, display error message "INCONSISTENT ANSWER. ‘NO’ CANNOT BE SELECTED WITH ANY OTHER CATEGORY" when leaving the screen.

BOX IA2

If IA1 NE 1 (SP PAYMENT), go to IA4.
Otherwise, go to IA2.

IA2

ia1howrecssp

R1 IA2 HOW REC SOC SEC PAY

DISPLAY INSTRUCTIONS:
If PROXY flag = 2 (no), display "Do you".
Otherwise, display "Does SP".

QUESTION TEXT:
{Do you/Does SP} get Social Security checks through the mail, or use direct deposit to a bank account?

CODES
1 MAIL
2 DIRECT DEPOSIT
   REFUSED
   DON'T KNOW

IA3A

ia1msrtrecss (Sensitive)

R1 IA3A MTH STRD REC SOC SEC PAY

DISPLAY INSTRUCTIONS:
Display “MONTH” in underlined text”.

QUESTION TEXT:
What month and year did {you/SP} start receiving Social Security?

ENTER NUMBER
Range
1 to 12
   REFUSED
   DON’T KNOW

PROGRAMMER INSTRUCTIONS:
Do not display the phrase 'Enter Number'.

IA3B

ia1yrstrecss (Sensitive)

R1 IA3B YR STRTD REC SOC SEC PAY

DISPLAY INSTRUCTIONS:
Display “YEAR” in underlined text”.

Page 2 of 53
NHATS Round 1 ::IA
QUESTION TEXT:

[What month and year did {you/SP} start receiving Social Security?]

ENTER NUMBER

REFUSED
DON'T KNOW

PROGRAMMER INSTRUCTIONS:

Range: {CURRENT YEAR – 45} – {CURRENT YEAR}.
Do not display the phrase 'Enter Number'.

IA4

ia1recsils1 R1 IA4 SP RECEIVED SSI LAST MONTH
ia1recsils2 R1 IA4 SPOUSE/PRT REC SSI LST MO
ia1recsils3 R1 IA4 NO SSI RECEIVED LAST MONTH

DISPLAY INSTRUCTIONS:

If PROXY flag = 2 (no) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "you".
Otherwise, display "SP".

If HH1 = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display "or {SP FIRSTNAME LASTNAME}/SP/PARTNER FIRSTNAME LASTNAME".
If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME".
Otherwise, display "SP/PARTNER FIRSTNAME LASTNAME".

If HH1 = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display "SELECT ALL THAT APPLY" and response option 2, "YES, SPOUSE/PARTNER RECEIVED PAYMENT FROM SOURCE".

Display “last month” in underlined text.

QUESTION TEXT:

Did {you/SP} or {SP FIRSTNAME LASTNAME}/SP/PARTNER FIRSTNAME LASTNAME) receive Supplemental Security Income, which is also called SSI, last month?

IF NEEDED: These are monthly government payments to lower-income people in need.

{SELECT ALL THAT APPLY}

CODES

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>YES, SP RECEIVED PAYMENT FROM SOURCE</td>
</tr>
<tr>
<td>2</td>
<td>YES, SPOUSE/PARTNER RECEIVED PAYMENT FROM SOURCE</td>
</tr>
<tr>
<td>3</td>
<td>NO PAYMENT RECEIVED FROM THIS SOURCE</td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
</tr>
<tr>
<td></td>
<td>DON'T KNOW</td>
</tr>
</tbody>
</table>

PROGRAMMER INSTRUCTIONS:

Allow code all that apply.
If 3 (NO PAYMENT) selected and [1 (SP PAYMENT) or 2 (SP/PAYMENT)] is selected, display error message “INCONSISTENT ANSWER. ‘NO’ CANNOT BE SELECTED WITH ANY OTHER CATEGORY” when leaving the screen.

IA5

ia1rvapayls1 R1 IA5 SP REC PAY FRM VA LAST MO
ia1rvapayls2 R1 IA5 SPOUS PA REC VA PAY LSTMO
DISPLAY INSTRUCTIONS:
If PROXY flag = 2 (no) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "you".
Otherwise, display "SP".

If HH1 = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display "or [SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME]".
If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME".
Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

If HH1 = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display "SELECT ALL THAT APPLY" and response option 2, “YES, SPOUSE/PARTNER RECEIVED PAYMENT FROM SOURCE”.

Display “last month” in underlined text.

QUESTION TEXT:
Did [you/SP] {SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME]} receive any payments from the Veteran’s Administration (U.S. Department of Veterans Affairs) last month related to military service or veteran survivor’s benefits?

{SELECT ALL THAT APPLY}

CODES
Code All That Apply
1 YES, SP RECEIVED PAYMENT FROM SOURCE
2 YES, SPOUSE/PARTNER RECEIVED PAYMENT FROM SOURCE
3 NO PAYMENT RECEIVED FROM THIS SOURCE
   REFUSED
   DON’T KNOW

PROGRAMMER INSTRUCTIONS:
Allow code all that apply.
If 3 (NO PAYMENT) selected and [1 (SP PAYMENT) or 2 (SPOUSE PAYMENT)] is selected, display error message “INCONSISTENT ANSWER. ‘NO’ CANNOT BE SELECTED WITH ANY OTHER CATEGORY” when leaving the screen.

People sometimes have other retirement income. This may be from pensions or retirement plans related to their jobs.

PRESS 1 AND ENTER TO CONTINUE

DISPLAY INSTRUCTIONS:
If PROXY flag = 2 (no) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "Do you".
Otherwise, display "Does SP".

If HH1 = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display "or [SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME]".
If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME".
Otherwise, display "SPouse/partner Firstname lastname".

If HH1 = 1 (married) or 2 (living with a partner), display "select all that apply" and response option
2, "yes, spouse/partner received payment from source".

Question text:
{do you/does sp} or {sp firstname lastname/spouse/partner firstname lastname}] have
any pension plans that were a job-related or union benefit?

If needed: these plans often require that a person work for a certain number of years before they
qualify or "are vested" in the pension plan.

{select all that apply}

Codes Code All That Apply
1 Yes, sp received payment from source
2 Yes, spouse/partner received payment
   from source
3 No payment received from this source
   Refused
   Don't know

Programmer Instructions:
Allow code all that apply.
If 3 (no payment) selected and [1 (sp payment) or 2 (spouse payment)] is selected, display error message
"inconsistent answer. 'no' cannot be selected with any other category" when leaving the
screen.

IA7 ia1iraothac1 R1 IA7 SP has IRA OTH Retire acc
ia1iraothac2 R1 IA7 spouse has IRA OTHR ACC
ia1iraothac3 R1 IA7 no IRA OTHR RETIRE ACCT

Display Instructions:
If proxy flag = 2 (no) or proxy relationship = 2 (spouse/partner), display "do you".
Otherwise, display "does sp".

If HH1 = 1 (married) or 2 (living with a partner), display "or {sp
firstname lastname/spouse/partner firstname lastname}].
If proxy relationship = 2 (spouse/partner), display "sp firstname lastname"
Otherwise, display "spouse/partner firstname lastname".

If HH1 = 1 (married) or 2 (living with a partner), display "select all that apply" and response option
2, "yes, spouse/partner has 401k, 403B, IRA, or keogh".

Question Text:
Show card IA1
Please look at the types of retirement plans on this card. {Do you/does SP} or {sp firstname
lastname/spouse/partner firstname lastname}] have any of these retirement accounts?

If needed: 401ks and 403Bs are plans where you contribute an amount each month from your
paycheck, and your employer may match some of your contribution.

If needed: IRAs (individual retirement accounts) and Keoghs are plans you set up on your own.

{Select all that apply}
CODES

<table>
<thead>
<tr>
<th>Code</th>
<th>Code All That Apply</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>YES, SP HAS 401K, 403B, IRA, OR KEOGH</td>
</tr>
<tr>
<td>2</td>
<td>YES, SPOUSE/PARTNER HAS 401K, 403B, IRA, OR KEOGH</td>
</tr>
<tr>
<td>3</td>
<td>NO ACCOUNTS OF THIS TYPE REFUSED DON'T KNOW</td>
</tr>
</tbody>
</table>

PROGRAMMER INSTRUCTIONS:

Allow code all that apply.

If 3 (NO ACCT) selected and [1 (SP ACCT) or 2 (SPOUSE ACCT)] is selected, display error message “INCONSISTENT ANSWER. ‘NO’ CANNOT BE SELECTED WITH ANY OTHER CATEGORY” when leaving the screen.

<table>
<thead>
<tr>
<th>IA8</th>
<th>iα1mutfdstk1</th>
<th>R1 IA8 SP OWNS MUTUAL FUND STOCK</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>iα1mutfdstk2</td>
<td>R1 IA8 SPOUSE OWNS FUNDS STOCK</td>
</tr>
<tr>
<td></td>
<td>iα1mutfdstk3</td>
<td>R1 IA8 SP SPOUSE OWN FUNDS STOCK</td>
</tr>
<tr>
<td></td>
<td>iα1mutfdstk4</td>
<td>R1 IA8 NO FUNDS OR STOCK OWNED</td>
</tr>
</tbody>
</table>

DISPLAY INSTRUCTIONS:

If IA7 = 1 (SP RETIREMENT ACCT) or 2 (SPOUSE RETIREMENT ACCT), display “Not including the retirement accounts we have already talked about, {do you/does SP}”.

Otherwise, display “Do you/Does SP”.

If PROXY flag = 2 (no) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display ”Do you” or “do you”.

Otherwise, display ”Does SP” or “does SP”.

If HH1 = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display ”or {FIRSTNAME LASTNAME/SPouse/PARTNER FIRSTNAME LASTNAME}”.

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display ”SP FIRSTNAME LASTNAME”.

Otherwise, display ”SPOUSE/PARTNER FIRSTNAME LASTNAME”.

If HH1 = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display “SELECT ALL THAT APPLY” and response option 2, “YES, SPOUSE/PARTNER HAS ASSET” and 3, “YES, SP AND SPOUSE/PARTNER HAVE ASSET JOINTLY”.

QUESTION TEXT:

{Not including the retirement accounts we have already talked about, {do you/does SP}?[{Do you/Does SP}?] or {FIRSTNAME LASTNAME/SPouse/PARTNER FIRSTNAME LASTNAME}] own any mutual funds or stocks?

{SELECT ALL THAT APPLY}
IA9

<table>
<thead>
<tr>
<th>CODES</th>
<th>Code All That Apply</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>YES, SP HAS ASSET</td>
</tr>
<tr>
<td>2</td>
<td>YES, SPOUSE/PARTNER HAS ASSET</td>
</tr>
<tr>
<td>3</td>
<td>YES, SP AND SPOUSE/PARTNER HAVE ASSET JOINTLY</td>
</tr>
<tr>
<td>4</td>
<td>NO ASSET OF THIS TYPE</td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
</tr>
<tr>
<td></td>
<td>DON’T KNOW</td>
</tr>
</tbody>
</table>

DISPLAY INSTRUCTIONS:
If PROXY flag = 2 (no) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “do you”.
Otherwise, display “does SP”.

If HH1 = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display “or {SP FIRSTNAME LASTNAME/SPouse/PARTNER FIRSTNAME LASTNAME}”.
If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “SP FIRSTNAME LASTNAME”.
Otherwise, display "SPouse/PARTNER FIRSTNAME LASTNAME”.

If HH1 = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display “SELECT ALL THAT APPLY” and response option 2, “YES, SPOUSE/PARTNER HAS ASSET” and 3, “YES, SP AND SPOUSE/PARTNER HAVE ASSET JOINTLY”.

QUESTION TEXT:
Not including what we’ve already talked about, {do you/does SP} {or {SP FIRSTNAME LASTNAME/SPouse/PARTNER FIRSTNAME LASTNAME} own any bonds, such as Government Savings Bonds, corporate, municipal, or other types of bonds?}

{SELECT ALL THAT APPLY}

PROGRAMMER INSTRUCTIONS:
Allow code all that apply.
If 4 (NO ASSET) selected and [1 (SP ASSET), 2 (SPouse ASSET), or 3 (JOINT ASSET)] is selected, display error message “INCONSISTENT ANSWER. ‘NO’ CANNOT BE SELECTED WITH ANY OTHER CATEGORY” when leaving the screen.

IA10PRE

<table>
<thead>
<tr>
<th>CODES</th>
<th>IA10PRE</th>
<th>NOT ON FILE</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>The next questions ask about different kinds of bank or savings accounts people sometimes have or property they own.</td>
</tr>
<tr>
<td></td>
<td>PRESS 1 AND ENTER TO CONTINUE</td>
<td></td>
</tr>
</tbody>
</table>

IA10

<table>
<thead>
<tr>
<th>CODES</th>
<th>IA10PRE</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>R1 IA10 SP OWNS CHECK ACCT</td>
</tr>
<tr>
<td>2</td>
<td>R1 IA10 SPOUSE OWNS CHECK ACCT</td>
</tr>
<tr>
<td>3</td>
<td>R1 IA10 SP SPOUSE OWN CHECK ACCT</td>
</tr>
</tbody>
</table>
PROGRAMMER INSTRUCTIONS:

DISPLAY INSTRUCTIONS:

If PROXY flag = 2 (no) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “do you”.
Otherwise, display “does SP”.

If HH1 = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display "or {SP FIRSTNAME LASTNAME/SPouse/PARTNER FIRSTNAME LASTNAME}].
Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME]".

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME".
Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME]".

If HH1 = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display “SELECT ALL THAT APPLY” and response option 2, “YES, SPOUSE/PARTNER HAS ASSET” and 3, “YES, SP AND SPOUSE/PARTNER HAVE ASSET JOINTLY”.

QUESTION TEXT:

Not counting what we’ve already talked about, {do you/does SP} {or {SP FIRSTNAME LASTNAME/SPouse/PARTNER FIRSTNAME LASTNAME]} have any of the following types of accounts?

RESPONSE [1] a. A checking account?
RESPONSE [2] b. A savings account or money market account?
RESPONSE [3] c. Certificates of deposit?

{SELECT ALL THAT APPLY}

CODES Code All That Apply
1 YES, SP HAS ASSET
2 YES, SPOUSE/PARTNER HAS ASSET
3 YES, SP AND SPOUSE/PARTNER HAVE ASSET JOINTLY
4 NO ASSETS OF THIS TYPE
REFUSED
DON’T KNOW

PROGRAMMER INSTRUCTIONS:

Allow code all that apply.
If 4 (NO ASSETS) selected and 1 (SP ASSET), 2 (SPOUSE ASSET), or 3 (JOINT ASSET)] is selected, display error message “INCONSISTENT ANSWER. ‘NO’ CANNOT BE SELECTED WITH ANY OTHER CATEGORY” when leaving the screen.

<table>
<thead>
<tr>
<th>IA13</th>
<th>ia1realestt1</th>
<th>R1 IA13 SP OWNS REAL ESTATE</th>
</tr>
</thead>
<tbody>
<tr>
<td>IA13</td>
<td>ia1realestt2</td>
<td>R1 IA13 SPOUSE OWNS REAL ESTATE</td>
</tr>
<tr>
<td>IA13</td>
<td>ia1realestt3</td>
<td>R1 IA13 SP SPOUSE OWN REAL ESTTE</td>
</tr>
<tr>
<td>IA13</td>
<td>ia1realestt4</td>
<td>R1 IA13 NO REAL ESTATE OWNED</td>
</tr>
</tbody>
</table>
DISPLAY INSTRUCTIONS:
If PROXY flag = 2 (no) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “do you”. Otherwise, display “does SP”.

If HH1 = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display "or {SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME}".
If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME".
Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

If HH1 = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display “SELECT ALL THAT APPLY” and response option 2, “YES, SPOUSE/PARTNER HAS ASSET” and 3, “YES, SP AND SPOUSE/PARTNER HAVE ASSET JOINTLY”.

If HP1 = 1 (OWNS HOME), display “besides [SP]’s home”.

QUESTION TEXT:
{Do you/Does SP} {or [SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME] } own a business, a farm, or any other real estate {besides [SP]’s home}, including land or rental properties?

{SELECT ALL THAT APPLY}

CODES Code All That Apply
1 YES, SP HAS ASSET
2 YES, SPOUSE/PARTNER HAS ASSET
3 YES, SP AND SPOUSE/PARTNER HAVE ASSET JOINTLY
4 NO ASSETS OF THIS TYPE
   REFUSED
   DON’T KNOW

PROGRAMMER INSTRUCTIONS:
Allow code all that apply.
If 4 (NO ASSETS) selected and [1 (SP ASSET), 2 (SPOUSE ASSET), or 3 (JOINT ASSET)] is selected, display error message “INCONSISTENT ANSWER. ‘NO’ CANNOT BE SELECTED WITH ANY OTHER CATEGORY” when leaving the screen.

IA14PRE IA14PRE NOT ON FILE
We now have a few questions about income which are important for understanding how people manage financially as they age.
PRESS 1 AND ENTER TO CONTINUE

BOX IA14 BOXIA14 NOT ON FILE
If IA1 = 1 (SP RECEIVED SS/RR) and 2 (SPOUSE RECEIVED SS/RR), go to IA14.
Else if IA1 = 1 (SP RECEIVED SS/RR), go to IA15a.
Else if IA1 = 2 (SPOUSE RECEIVED SS/RR), go to IA16a.
Otherwise, go to BOX IA17.

IA14 iassrrpymnt R1 IA14 RECENT MTHLY SS RR PYMNT

DISPLAY INSTRUCTIONS:
If PROXY flag = 2 (no) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “your”.

Page 9 of 53
Otherwise, display “SP’s”.

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME". Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

**QUESTION TEXT:**

First, what was the amount of {your/SP's} and {SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME}'s most recent monthly Social Security or Railroad Retirement payment (for the month of {CURRENT MONTH – 1})?

IF NEEDED: We don’t need an exact dollar amount. The nearest $100 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

**CODES**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
<th>IA15A</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>ENTER COMBINED AMOUNT</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY</td>
<td></td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
<td>IA14B</td>
</tr>
<tr>
<td></td>
<td>DON'T KNOW</td>
<td>IA14B</td>
</tr>
</tbody>
</table>

**IA14A**

**QUESTION TEXT:**

ENTER COMBINED SP AND SPOUSE/PARTNER SOCIAL SECURITY/RAILROAD RETIREMENT AMOUNT

**ENTER NUMBER**

Range 1 to 9999

**PROGRAMMER INSTRUCTIONS:**

Range: $1-$9,999
Do not display the phrase 'Enter Number'.
If IA14A = 1-9999, go to BOX IA17

**IA14B**

**QUESTION TEXT:**

SHOW CARD IA2

Was it...

**CODES**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
<th>Box IA17</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>less than $1,200,</td>
<td>BOX</td>
</tr>
<tr>
<td>2</td>
<td>$1,200-$1,599,</td>
<td>BOX</td>
</tr>
<tr>
<td>3</td>
<td>$1,600-$1,999,</td>
<td>BOX</td>
</tr>
<tr>
<td>4</td>
<td>$2,000-$2,499, or</td>
<td>BOX</td>
</tr>
<tr>
<td>5</td>
<td>$2,500 or more?</td>
<td>BOX</td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
<td>BOX</td>
</tr>
<tr>
<td></td>
<td>DON'T KNOW</td>
<td>BOX</td>
</tr>
</tbody>
</table>
DISPLAY INSTRUCTIONS:

If PROXY flag = 2 (no), display “your”.
Otherwise, display “SP’s”.

QUESTION TEXT:

What was the amount of {your/SP’s} most recent monthly Social Security or Railroad Retirement payment (for the month of {CURRENT MONTH – 1})?

IF NEEDED: We don’t need an exact dollar amount. The nearest $100 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SP SOCIAL SECURITY/RAILROAD RETIREMENT AMOUNT

ENTER NUMBER

Range 1 to 9999

REFUSED
DON’T KNOW

PROGRAMMER INSTRUCTIONS:

Range: $1-$9,999
Do not display the phrase ‘Enter Number’.
If IA15A = 1-9999, go to BOX IA16a

IA15B

QUESTION TEXT:

SHOW CARD IA3

Was it...

CODES

1 less than $600,
2 $600-$899,
3 $900-$1,099,
4 $1,100-$1,499, or
5 $1,500 or more?

REFUSED
DON’T KNOW

BOX IA16A

If IA14 = 2 (ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY), go to IA16a.
Otherwise, go to BOX IA17.
**DISPLAY INSTRUCTIONS:**

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "your".
Otherwise, display "[SPOUSE/PARTNER FIRSTNAME LASTNAME]'s".

**QUESTION TEXT:**

What was the amount of [your[SPOUSE/PARTNER FIRSTNAME LASTNAME]'s] most recent monthly Social Security or Railroad Retirement payment (for the month of [CURRENT MONTH – 1])?

IF NEEDED: We don’t need an exact dollar amount. The nearest $100 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SPOUSE/PARTNER SOCIAL SECURITY/RAILROAD RETIREMENT AMOUNT

**ENTER NUMBER**

Range 1 to 9999

REFUSED

DON'T KNOW

**PROGRAMMER INSTRUCTIONS:**

Range: $1-$9,999.
Do not display the phrase 'Enter Number'.
If IA16A = 1-9999, go to BOX IA17

---

**IA16B**

**CODES**

1 less than $600,
2 $600-$899,
3 $900-$1,099,
4 $1,100-$1,499, or
5 $1,500 or more?

REFUSED

DON'T KNOW

---

**BOX IA17**

If IA4 = 1 (SP RECEIVED SSI) and 2 (SPOUSE RECEIVED SSI), go to IA7.
Else if IA4 = 1 (SP RECEIVED SSI), go to IA8a.
Else if IA4 = 2 (SPOUSE RECEIVED SSI), go to IA9a.
Otherwise, go to BOX IA20.
DISPLAY INSTRUCTIONS:
If PROXY flag = 2 (no) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “your”. Otherwise, display “SP’s”.

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “SP FIRSTNAME LASTNAME”. Otherwise, display “SPOUSE/PARTNER FIRSTNAME LASTNAME”.

QUESTION TEXT:
What was the amount of {your/SP’s} and {SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME}’s most recent monthly SSI payment (for the month of {CURRENT MONTH – 1})?

IF NEEDED: We don’t need an exact dollar amount. The nearest $100 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

CODES
1 ENTER COMBINED AMOUNT IA18A
2 ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY IA18A
   REFUSED IA17B
   DON’T KNOW IA17B

IA17A
QUESTION TEXT:
ENTER COMBINED SP AND SPOUSE/PARTNER SSI AMOUNT

ENTER NUMBER
Range 1 to 9999

PROGRAMMER INSTRUCTIONS:
Do not display the phrase 'Enter Number'. If IA17A = 1-9999, go to BOX IA20.

IA17B
QUESTION TEXT:
SHOW CARD IA4
Was it...

CODES
1 less than $300, BOX IA20
2 $300-$699, BOX IA20
3 $700-$999, or BOX IA20
4 $1000 or more? BOX IA20
   REFUSED BOX IA20
   DON’T KNOW BOX IA20
IA18A

DISPLAY INSTRUCTIONS:

If PROXY flag = 2 (no), display “your”.
Otherwise, display “SP’s”.

QUESTION TEXT:

What was the amount of {your/SP’s} most recent monthly SSI payment (for the month of [CURRENT MONTH – 1])?

IF NEEDED: We don’t need an exact dollar amount. The nearest $100 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SP SSI AMOUNT

ENTER NUMBER

Range 1 to 9999
REFUSED
DON’T KNOW

PROGRAMMER INSTRUCTIONS:

Range: $1-$9,999
Do not display the phrase ’Enter Number’.
If IA18A = 1-9999, go to BOX IA19A.

IA18B

QUESTION TEXT:

SHOW CARD IA5

Was it...

CODES

1 less than $100,
2 $100-$199,
3 $200-$399,
4 $400-$699, or
5 $700 or more?

REFUSED
DON’T KNOW

BOX IA19A

BOXIA19A

NOT ON FILE

If IA17 = 2 (ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY), go to IA19a.
Otherwise, go to BOX IA20.
DISPLAY INSTRUCTIONS:
If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “your”.
Otherwise, display “[SPOUSE/PARTNER FIRSTNAME LASTNAME]’s”.

QUESTION TEXT:
What was the amount of {your/[SPOUSE/PARTNER FIRSTNAME LASTNAME]’s} most recent monthly SSI payment for the month of [CURRENT MONTH – 1]?

IF NEEDED: We don’t need an exact dollar amount. The nearest $100 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SPOUSE/PARTNER SSI AMOUNT

ENTER NUMBER
Range: 1 to 9999
REFUSED
DON’T KNOW

PROGRAMMER INSTRUCTIONS:
Range: $1-$9,999.
Do not display the phrase ‘Enter Number’.
If IA19A = 1-9999, go to BOX IA20.

IA19B

QUESTION TEXT:
SHOW CARD IA5
Was it...

CODES
1 less than $100,
2 $100-$199,
3 $200-$399,
4 $400-$699,
5 $700 or more?
REFUSED
DON’T KNOW

BOX IA20

If IA5 = 1 (SP RECEIVED VA) and 2 (SPOUSE RECEIVED VA), go to IA20.
Else if IA5 = 1 (SP RECEIVED VA), go to IA21a.
Else if IA5 = 2 (SPOUSE RECEIVED VA), go to IA22a.
Otherwise, go to BOX IA23.
DISPLAY INSTRUCTIONS:
If PROXY flag = 2 (no) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “your”.
Otherwise, display “SP’s”.

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “SP FIRSTNAME LASTNAME”.
Otherwise, display “SPOUSE/PARTNER FIRSTNAME LASTNAME”.

QUESTION TEXT:
What was the amount of {your/SP’s} and {SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME}’s most recent monthly Veteran’s Administration payment (for the month of {CURRENT MONTH – 1})?

IF NEEDED: We don’t need an exact dollar amount. The nearest $100 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

CODES
1 ENTER COMBINED AMOUNT IA21A
2 ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY IA20A
   REFUSED IA20B
   DON’T KNOW IA20B

IA20A

QUESTION TEXT:
ENTER COMBINED SP AND SPOUSE/PARTNER VA AMOUNT

ENTER NUMBER
Range 1 to 9999

PROGRAMMER INSTRUCTIONS:
Do not display the phrase ‘Enter Number’.
If IA20A = 1-9999, go to BOX IA23.

IA20B

QUESTION TEXT:
SHOW CARD IA6
Was it...

CODES
1 less than $600, BOX IA23
2 $600-$799, BOX IA23
3 $800-$999, BOX IA23
4 $1,000-$1,199, or BOX IA23
5 $1,200 or more? BOX IA23
IA21A

**DISPLAY INSTRUCTIONS:**

If PROXY flag = 2 (no), display “your”.
Otherwise, display “SP’s”.

**QUESTION TEXT:**

What was the amount of [your/SP’s] most recent monthly Veteran’s Administration payment (for the month of [CURRENT MONTH – 1])?

IF NEEDED: We don’t need an exact dollar amount. The nearest $100 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SP VA AMOUNT

**ENTER NUMBER**

Range 1 to 9999
REFUSED
DON’T KNOW

**PROGRAMMER INSTRUCTIONS:**

Range: $1-$9,999
Do not display the phrase ‘Enter Number’.
If IA21A = 1-9999, go to BOX IA22A.

---

IA21B

**CODES**

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<thead>
<tr>
<th>Code</th>
<th>Amount</th>
</tr>
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<tbody>
<tr>
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</tr>
<tr>
<td>2</td>
<td>$500-$699,</td>
</tr>
<tr>
<td>3</td>
<td>$700-$899,</td>
</tr>
<tr>
<td>4</td>
<td>$900-$1,099, or</td>
</tr>
<tr>
<td>5</td>
<td>$1,100 or more?</td>
</tr>
</tbody>
</table>

**PROGRAMMER INSTRUCTIONS:**

If IA20 = 2 (ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY), go to IA22a.
Otherwise, go to BOX IA23.
PROGRAMMER INSTRUCTIONS:

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “your”.
Otherwise, display “[SPOUSE/PARTNER FIRSTNAME LASTNAME]’s”.

ENTER NUMBER

Range
REFUSED
DON’T KNOW

PROGRAMMER INSTRUCTIONS:

Range: $1-$9,999.
Do not display the phrase 'Enter Number'.
If IA22A = 1-9999, go to BOX IA23.

IA22B

CODES

1  less than $500,
2  $500-$699,
3  $700-$899,
4  $900-$1,099, or
5  $1,100 or more?
REFUSED
DON’T KNOW

BOX IA23

If IA6 = 1 (SP RECEIVED PENSION PLAN) and 2 (SPOUSE RECEIVED PENSION PLAN), go to IA23.
Else if IA6 = 1 (SP RECEIVED PENSION PLAN), go to IA24.
Else if IA6 = 2 (SPOUSE RECEIVED PENSION PLAN), go to IA25.
Otherwise, go to BOX IA26.
DISPLAY INSTRUCTIONS:
If PROXY flag = 2 (no) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "you".
Otherwise, display "SP".

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME".
Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

QUESTION TEXT:
You told me earlier that (you/SP) and {SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME} have job-related pension plans. In all, how much was received from these pension plans in the last month, before any federal or state taxes were taken out (for the month of {CURRENT MONTH – 1})?

IF NEEDED: We don’t need an exact dollar amount. The nearest $100 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

CODES
1 ENTER COMBINED AMOUNT
2 ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY
   REFUSED
   DON’T KNOW

IA23A

QUESTION TEXT:
ENTER COMBINED SP AND SPOUSE/PARTNER PENSION PLAN AMOUNT

ENTER NUMBER
Range 1 to 9999

PROGRAMMER INSTRUCTIONS:
Range: $1-$9,999
Do not display the phrase 'Enter Number'.
If IA23A = 1-9999, go to BOX IA26.

IA23B

QUESTION TEXT:
SHOW CARD IA8
Was it...

CODES
1 less than $600, BOX IA26
2 $600-$1,099, BOX IA26
3 $1,100-$2,099, BOX IA26
4 $2,100-$4,499, or BOX IA26

Page 19 of 53 NHATS Round 1 ::IA
**IA24A**  
\[\text{ia1pensamt} \]  
R1 IA24A SP JOB PENSION AMOUNT

**DISPLAY INSTRUCTIONS:**
If PROXY flag = 2 (no), display “you have” and "your".  
Otherwise, display "SP has" and "SP's".

If IA23=2, (ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY), display "{your/SP's] job-related".  
Otherwise, display "You told me earlier that {you have/SP has] a job-related pension plan." and "this".

**QUESTION TEXT:**
{You told me earlier that {you have/SP has} a job-related pension plan.] In all, how much was received from {{your/SP's] job-related/this] pension plan in the last month, before any federal or state taxes were taken out {for the month of {CURRENT MONTH – 1}]?

IF NEEDED: We don't need an exact dollar amount. The nearest $100 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

**ENTER NUMBER**

Range 1 to 9999

REFUSED

DON'T KNOW

**PROGRAMMER INSTRUCTIONS:**
Range: $1-$9,999  
Do not display the phrase 'Enter Number'.  
If IA24A = 1-9999, go to BOX IA25a.

---

**IA24B**  
\[\text{ia1penspess} \]  
R1 IA24B EST SP JOB PENSION AMT

**QUESTION TEXT:**

SHOW CARD IA9

Was it...

**CODES**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
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<tbody>
<tr>
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<tr>
<td>2</td>
<td>$400-$799,</td>
</tr>
<tr>
<td>3</td>
<td>$800-$1,499,</td>
</tr>
<tr>
<td>4</td>
<td>$1,500-$3,399, or</td>
</tr>
<tr>
<td>5</td>
<td>$3,400 or more?</td>
</tr>
</tbody>
</table>

REFUSED

DON'T KNOW
If IA23 = 2 (ENTER SP and SPOUSE/PARTNER AMOUNTS SEPARATELY), go to IA25a.
Otherwise, go to BOX IA26.

**DISPLAY INSTRUCTIONS:**
If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "you have" and "your".
Otherwise, display "{SPOUSE/PARTNER FIRSTNAME LASTNAME} has" and "{SPOUSE/PARTNER FIRSTNAME LASTNAME}'s".

If IA23 = 2 (ENTER SP and SPOUSE/PARTNER AMOUNTS SEPARATELY), display "{your/SP's} job-related".
Otherwise, display "You told me earlier that {you have/SP FIRSTNAME LASTNAME} has a job-related pension plan" and "this".

**QUESTION TEXT:**
{You told me earlier that {you have/SP FIRSTNAME LASTNAME} has} a job-related pension plan. In all, how much was received from {{your/SP FIRSTNAME LASTNAME}'s} job-related pension plan in the last month, before any federal or state taxes were taken out (for the month of {CURRENT MONTH – 1})?

IF NEEDED: We don't need an exact dollar amount. The nearest $100 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

**ENTER SPouse/PARTNER PENSION PLAN AMOUNT**

**Range**
- 1 to 9999
- REFUSED
- DON'T KNOW

**PROGRAMMER INSTRUCTIONS:**
Do not display the phrase 'Enter Number'.
If IA25A = 1-9999, go to BOX IA26.

**IA25B**

**QUESTION TEXT:**
SHOW CARD IA9
Was it...

**CODES**
1  less than $400,
2  $400-$799,
3  $800-$1,499,
4  $1,500-$3,399, or
5  $3,400 or more?
   REFUSED
   DON'T KNOW
If IA7 = 1 (SP HAS RETIREMENT ACCT) and 2 (SPOUSE HAS RETIREMENT ACCT), go to IA26.
Else if IA7 = 1 (SP HAS RETIREMENT ACCT), go to IA27a.
Else if IA7 = 2 (SPOUSE HAS RETIREMENT ACCT), go to IA28a.
Otherwise, go to BOX IA35.

**IA26**

**ia1retworth**

**R1 IA26 RETIREMENT ACCOUNT WORTH**

**DISPLAY INSTRUCTIONS:**

- If PROXY flag = 2 (no) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “you”.
- Otherwise, display “SP”.
- If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME".
- Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

Display “currently” in underlined text.

**QUESTION TEXT:**

This next question is a bit different. You mentioned that {you/SP} and {SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME} have retirement accounts. In total, about how much is currently in all of these retirement accounts?

IF NEEDED: Retirement accounts include 401K, 403B, IRA and Keough accounts.

IF NEEDED: We don’t need an exact dollar amount. The nearest $1,000 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

**CODES**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>ENTER COMBINED AMOUNT</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY</td>
<td>IA27A</td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
<td>IA26B</td>
</tr>
<tr>
<td></td>
<td>DON’T KNOW</td>
<td>IA26B</td>
</tr>
</tbody>
</table>

**IA26a**

**ia1retjtwrt**

**R1 IA26A JOINT RETIR ACCT WORTH**

**QUESTION TEXT:**

ENTER COMBINED SP AND SPOUSE/PARTNER RETIREMENT ACCOUNT AMOUNT

**ENTER NUMBER**

Range: 1 to 9999999

**PROGRAMMER INSTRUCTIONS:**

Range: $1-$9,999,999
Do not display the phrase 'Enter Number'.
If IA26A = 1-9999999, go to BOX IA29.
**IA26b**

**QUESTION TEXT:**

SHOW CARD IA10

Is it...

**CODES**

1. less than $16,000, BOX IA29
2. $16,000-$49,999, BOX IA29
3. $50,000-$118,999, BOX IA29
4. $119,000-$224,999, or BOX IA29
5. $225,000 or more? BOX IA29

**IA27a**

**DISPLAY INSTRUCTIONS:**

If PROXY flag = 2 (no), display “you have”.
Otherwise, display “SP has”.

Display “currently” in underlined text.

**QUESTION TEXT:**

This next question is a bit different. You mentioned that [you have/SP has] retirement accounts. In total, about how much is currently in all of these retirement accounts?

IF NEEDED: Retirement accounts include 401K, 403B, IRA and Keough accounts.

IF NEEDED: We don’t need an exact dollar amount. The nearest $1,000 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

**ENTER NUMBER**

Range 1 to 999999

REFUSED

DON’T KNOW

**PROGRAMMER INSTRUCTIONS:**

Range: $1-$999,999

Do not display the phrase 'Enter Number'.

If IA27A = 1-999999, go to BOX IA28a.
**IA27b**

**QUESTION TEXT:**

SHOW CARD IA11

Is it...

**CODES**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>less than $10,000,</td>
</tr>
<tr>
<td>2</td>
<td>$10,000-$27,999,</td>
</tr>
<tr>
<td>3</td>
<td>$28,000-$54,999,</td>
</tr>
<tr>
<td>4</td>
<td>$55,000-$143,999, or</td>
</tr>
<tr>
<td>5</td>
<td>$144,000 or more?</td>
</tr>
</tbody>
</table>

**IA28a**

**DISPLAY INSTRUCTIONS:**

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “you have”. Otherwise, display “[SPOUSE/PARTNER FIRSTNAME LASTNAME] has”.

Display “currently” in underlined text.

**QUESTION TEXT:**

This next question is a bit different. You mentioned that [you have]{SPOUSE/PARTNER FIRSTNAME LASTNAME} has} retirement accounts. In total, about how much is currently in all of these retirement accounts?

**ENTER NUMBER**

<table>
<thead>
<tr>
<th>Range</th>
<th>1 to 999999</th>
</tr>
</thead>
<tbody>
<tr>
<td>REFUSED</td>
<td></td>
</tr>
<tr>
<td>DON’T KNOW</td>
<td></td>
</tr>
</tbody>
</table>

**PROGRAMMER INSTRUCTIONS:**

Range: $1-$999,999

Do not display the phrase 'Enter Number'.

If IA28A = 1-999999, go to BOX IA29.
IA28b  

**QUESTION TEXT:**

SHOW CARD IA11

Is it...

**CODES**

1  less than $10,000,
2  $10,000-$27,999,
3  $28,000-$54,999,
4  $55,000-$143,999, or
5  $144,000 or more?
   REFUSED
   DON’T KNOW

---

BOX IA29  

**CODES**

1  ENTER COMBINED AMOUNT
2  ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY
   IA30A
   IA29B
   IA29B

---

IA29  

**DISPLAY INSTRUCTIONS:**

If PROXY flag = 2 (no) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “you”.
Otherwise, display “SP”.

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME".
Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

Display “Last month” in bold underlined text.

**QUESTION TEXT:**

Last month, how much altogether did {you/SP} and [SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME] receive or withdraw from all of these retirement accounts (for the month of {CURRENT MONTH – 1})?

IF NEEDED: We don’t need an exact dollar amount. The nearest $100 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.
IA29a

ENTER NUMBER
Range 1 to 9999

PROGRAMMER INSTRUCTIONS:
Range: $1-$9,999
Do not display the phrase 'Enter Number'.
If IA29A = 1-9999, go to BOX IA32.

IA29b

DISPLAY INSTRUCTIONS:
Display “last month” in underlined text.

QUESTION TEXT:
SHOW CARD IA12
For last month, was it...

CODES
1 less than $200,
2 $200-$299,
3 $300-$699,
4 $700-$1,499, or
5 $1,500 or more?

REFUSED
DON'T KNOW

IA30a

DISPLAY INSTRUCTIONS:
If PROXY flag = 2 (no), display “you” and “your”.
Otherwise, display “SP” and “[his/her].”

Display “last month” in underlined text.

QUESTION TEXT:
Last month, how much altogether did {you/SP} receive or withdraw from {your/his/her} retirement accounts (for the month of {CURRENT MONTH – 1})?

IF NEEDED: We don’t need an exact dollar amount. The nearest $100 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SP RETIREMENT ACCOUNT AMOUNT
ENTER NUMBER

Range
REFUSED
DON'T KNOW

PROGRAMMER INSTRUCTIONS:
Range: $1-$9,999
Do not display the phrase 'Enter Number'.
If IA30A = 1-9999, go to BOX IA31a.

IA30b

DISPLAY INSTRUCTIONS:
Display “last month” in underlined text.

QUESTION TEXT:
SHOW CARD IA13
For last month, was it...

CODES
1  less than $100,
2  $100-$199,
3  $200-$399,
4  $400-$1,099, or
5  $1,100 or more?
    REFUSED
    DON'T KNOW

BOX IA31a

If IA29 = 2 (ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY), go to IA31a.
Otherwise, go to BOX IA32.

IA31a

DISPLAY INSTRUCTIONS:
If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “you” and “your”.
Otherwise, display “[SPOUSE/PARTNER FIRSTNAME LASTNAME]” and “[his/her].
Display “Last month” in underlined text.

QUESTION TEXT:
Last month, how much altogether did [you][SPOUSE/PARTNER FIRSTNAME LASTNAME] receive or withdraw from [your/his/her] retirement accounts (for the month of [CURRENT MONTH – 1])?

IF NEEDED: We don't need an exact dollar amount. The nearest $100 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SPOUSE/PARTNER RETIREMENT ACCOUNT AMOUNT
ENTER NUMBER
Range 1 to 9999
REFUSED
DON'T KNOW

PROGRAMMER INSTRUCTIONS:
Range: $1-$9,999
Do not display the phrase 'Enter Number'.
If IA31A = 1-9999, go to BOX IA32.

IA31b ia1rImptest
R1 IA31B EST PARTNR WDR LST MTH

DISPLAY INSTRUCTIONS:
Display “last month” in underlined text.

QUESTION TEXT:
SHOW CARD IA13
For last month, was it...

CODES
1 less than $100,
2 $100-$199,
3 $200-$399,
4 $400-$1,099, or
5 $1,100 or more?
REFUSED
DON'T KNOW

BOX IA32 BOXIA32 NOT ON FILE

If IA7 = 1 (SP HAS RETIREMENT ACCT) and 2 (SPOUSE HAS RETIREMENT ACCT), go to IA32.
Else if IA7 = 1 (SP HAS RETIREMENT ACCT), go to IA33a.
Else if IA7 = 2 (SPOUSE HAS RETIREMENT ACCT), go to IA34a.
Otherwise, go to BOX IA35.

IA32 ia1rtyrwdrw
R1 IA32 RETRMNT WDRWL AMT LST YR

DISPLAY INSTRUCTIONS:
If PROXY flag = 2 (no) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “you”.
Otherwise, display “SP”.

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME".
Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

Display “last year” in underlined text.

QUESTION TEXT:
Now thinking about all of last year (that is calendar year [CURRENT YEAR – 1]), how much altogether did [you/SP] and [SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME] receive or withdraw from all of these retirement accounts?
IF NEEDED: We don’t need an exact dollar amount. The nearest $100 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

**CODES**

<table>
<thead>
<tr>
<th></th>
<th>ENTER COMBINED AMOUNT</th>
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<tbody>
<tr>
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<td>ENTER SP AND SPOUSE/PARTNER AMOUNTS</td>
</tr>
<tr>
<td>2</td>
<td>REFUSED</td>
</tr>
<tr>
<td></td>
<td>DON’T KNOW</td>
</tr>
</tbody>
</table>

**IA32a**

**ia1rtyrjtamt**

**R1 IA32A AMT JOINT RET WDR LS YR**

**QUESTION TEXT:**

ENTER COMBINED SP AND SPOUSE/PARTNER RETIREMENT ACCOUNT AMOUNT

**ENTER NUMBER**

**Range**

1 to 9999999

**PROGRAMMER INSTRUCTIONS:**

Range: $1-$9,999,999
Do not display the phrase 'Enter Number'.
If IA32A = 1-9999999, go to BOX IA35.

**IA32b**

**ia1rtyrjtest**

**R1 IA32B EST JOINT RET WDR LS YR**

**DISPLAY INSTRUCTIONS:**

Display “last year” in underlined text.

**QUESTION TEXT:**

SHOW CARD IA14

For last year, was it...

**CODES**

<table>
<thead>
<tr>
<th></th>
<th>less than $2,400,</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>BOX IA35</td>
</tr>
<tr>
<td>2</td>
<td>$2,400-$3,599,</td>
</tr>
<tr>
<td></td>
<td>BOX IA35</td>
</tr>
<tr>
<td>3</td>
<td>$3,600-$8,399,</td>
</tr>
<tr>
<td></td>
<td>BOX IA35</td>
</tr>
<tr>
<td>4</td>
<td>$8,400-$17,999,</td>
</tr>
<tr>
<td></td>
<td>BOX IA35</td>
</tr>
<tr>
<td>5</td>
<td>$18,000 or more?</td>
</tr>
<tr>
<td></td>
<td>BOX IA35</td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
</tr>
<tr>
<td></td>
<td>BOX IA35</td>
</tr>
<tr>
<td></td>
<td>DON’T KNOW</td>
</tr>
<tr>
<td></td>
<td>BOX IA35</td>
</tr>
</tbody>
</table>
DISPLAY INSTRUCTIONS:
If PROXY flag = 2 (no), display “you” and “your”.
Otherwise, display “SP” and “[his/her]”.
Display “last year” in underlined text.

QUESTION TEXT:
Now thinking about all of last year (that is calendar year [CURRENT YEAR – 1]), how much altogether did {you/SP} receive or withdraw from all of {your/his/her} retirement plans?

IF NEEDED: We don’t need an exact dollar amount. The nearest $100 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SP RETIREMENT ACCOUNT AMOUNT

ENTER NUMBER

Range
1 to 999999

REFUSED
DON’T KNOW

PROGRAMMER INSTRUCTIONS:
Range: $1-$9,999,999
Do not display the phrase 'Enter Number'.
If IA33A = 1-9999999, go to BOX IA34a.

DISPLAY INSTRUCTIONS:
Display “last year” in underlined text.

QUESTION TEXT:
SHOW CARD IA15
For last year, was it...

CODES

1 less than $1,200,
2 $1,200-$2,399,
3 $2,400-$4,799,
4 $4,800-$13,199, or
5 $13,200 or more?
REFUSED
DON’T KNOW
If IA32 = 2 (ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY), go to IA34a.
Otherwise, go to BOX IA35.

**IA34a**

**DISPLAY INSTRUCTIONS:**

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “you” and “your”.
Otherwise, display “[SPOUSE/PARTNER FIRSTNAME LASTNAME]” and “[his/her]”.

Display “last year” in underlined text.

**QUESTION TEXT:**

Now thinking about all of last year (that is calendar year [CURRENT YEAR – 1]), how much altogether did {you/[SPOUSE/PARTNER FIRSTNAME LASTNAME]} receive or withdraw from all of {your/his/her} retirement plans?

IF NEEDED: We don’t need an exact dollar amount. The nearest $100 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SPOUSE/PARTNER RETIREMENT ACCOUNT AMOUNT

**ENTER NUMBER**

Range 1 to 9999999
REFUSED
DON’T KNOW

**PROGRAMMER INSTRUCTIONS:**

Range: $1-$9,999,999
Do not display the phrase 'Enter Number'.
If IA34A = 1-9999999, go to BOX IA35.

---

**IA34b**

**DISPLAY INSTRUCTIONS:**

Display “last year” in underlined text.

**QUESTION TEXT:**

SHOW CARD IA15

For last year, was it...

**CODES**

<table>
<thead>
<tr>
<th></th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>less than $1,200,</td>
</tr>
<tr>
<td>2</td>
<td>$1,200-$2,399,</td>
</tr>
<tr>
<td>3</td>
<td>$2,400-$4,799,</td>
</tr>
<tr>
<td>4</td>
<td>$4,800-$13,199, or</td>
</tr>
<tr>
<td>5</td>
<td>$13,200 or more?</td>
</tr>
</tbody>
</table>

---
REFUSED
DON'T KNOW

BOX IA35

NOT ON FILE

If [IA8 = 1 (SP MUTUAL FUNDS) and IA9 = 2 (SPouse MUTUAL FUNDS)] or IA8 = 3 (JOINT MUTUAL FUNDS) or [IA9 = 1 (SP BONDS) and IA9 = 2 (SPouse BONDS)] or IA9 = 3 (JOINT BONDS), go to IA35.
Else if IA8 = 1 (SP MUTUAL FUNDS) or IA9 = 1 (SP BONDS), go to IA36a.
Else if IA8 = 2 (SPouse MUTUAL FUNDS) or IA9 = 2 (SPouse BONDS), go to IA37a.
Otherwise, go to BOX IA38.

IA35

ia1skbdwrth

R1 IA35 NONRETR STKS BNDS WRTH

DISPLAY INSTRUCTIONS:
If PROXY flag = 2 (no) or PROXY RELATIONSHIP = 2 (SPouse/PARTNER), display “you”.
Otherwise, display “SP”.

If PROXY RELATIONSHIP = 2 (SPouse/PARTNER), display "SP FIRSTNAME LASTNAME".
Otherwise, display "SPouse/PARTNER FIRSTNAME LASTNAME".

If IA8 = 1 (SP MUTUAL FUNDS) or 2 (SPouse MUTUAL FUNDS) or 3 (JOINT MUTUAL FUNDS), display “mutual funds or stocks”.
If IA9 = 1 (SP BONDS) or 2 (SPouse BONDS) or 3 (JOINT BONDS), display “government, corporate, or other bonds”.

If more than one type of asset displayed, display “and” between them.

QUESTION TEXT:
You told me earlier that [you/SP] and [SP FIRSTNAME LASTNAME/SPouse/PARTNER FIRSTNAME LASTNAME] own [mutual funds or stocks] [government, corporate, or other bonds] that are not part of retirement accounts. About how much are these worth?

IF NEEDED: We don’t need an exact dollar amount. The nearest $1,000 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

CODES
1 ENTER COMBINED AMOUNT
2 ENTER SP AND SPouse/PARTNER AMOUNTS SEPARATELY
REFUSED
DON’T KNOW

IA35a

ia1skbdjtwrt

R1 IA35A JOINT STKS BNDS WRTH

QUESTION TEXT:
ENTER COMBINED SP AND SPouse’S/PARTNER MUTUAL FUNDS/STOCKS/BONDS AMOUNT

ENTER NUMBER
**PROGRAMMER INSTRUCTIONS:**

Range: $1-$9,999,999
Do not display the phrase 'Enter Number'.
If IA35A = 1-9999999, go to BOX IA38.

<table>
<thead>
<tr>
<th>BOX IA35b</th>
<th>BOXIA35b</th>
<th>NOT ON FILE</th>
</tr>
</thead>
<tbody>
<tr>
<td>If $[IA = 1 \text{ (SP MUTUAL FUNDS)} \text{ and } IA8 = 2 \text{ (SPOUSE MUTUAL FUNDS)}] \text{ or } IA8 = 3 \text{ (JOINT MUTUAL FUNDS)}$, go to IA35b. Otherwise, go to IA35c.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>IA35b</th>
<th>ia1skbdjtest</th>
<th>R1 IA35B EST JOINT STKS BNDS WRT</th>
</tr>
</thead>
<tbody>
<tr>
<td>QUESTION TEXT:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SHOW CARD IA16</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Is it...</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CODES</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>less than $62,000,</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>$62,000-$85,999,</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>$86,000-$149,999,</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>$150,000-$434,999, or</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>$435,000 or more?</td>
<td></td>
</tr>
<tr>
<td>REFUSED</td>
<td></td>
<td></td>
</tr>
<tr>
<td>DON'T KNOW</td>
<td></td>
<td></td>
</tr>
<tr>
<td>BOX IA38</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>IA35c</th>
<th>ia1bdnjtest</th>
<th>R1 IA35C EST JOINT ONLY BNDS WRT</th>
</tr>
</thead>
<tbody>
<tr>
<td>QUESTION TEXT:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SHOW CARD IA17</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Is it...</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CODES</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>less than $1,000,</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>$1,000-$1,999,</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>$2,000-$19,999,</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>$20,000-$49,999, or</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>$50,000- or more?</td>
<td></td>
</tr>
<tr>
<td>REFUSED</td>
<td></td>
<td></td>
</tr>
<tr>
<td>DON'T KNOW</td>
<td></td>
<td></td>
</tr>
<tr>
<td>BOX IA38</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
DISPLAY INSTRUCTIONS:
If PROXY flag = 2 (no), display “you own”.
Otherwise, display “SP owns”.

If IA8 = 1 (SP MUTUAL FUNDS), display “mutual funds or stocks”.
If IA9 = 1 (SP BONDS), display “government, corporate, or other bonds”.

If more than one type of asset displayed, display “and” between them.

QUESTION TEXT:
You told me earlier that {you own/SP owns} {mutual funds or stocks} {government, corporate, or other bonds} that are not part of retirement accounts. About how much are these worth?

IF NEEDED: We don’t need an exact dollar amount. The nearest $1,000 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SP MUTUAL FUNDS/STOCKS/BONDS AMOUNT

ENTER NUMBER
Range
1 to 9999999
REFUSED
DON’T KNOW

PROGRAMMER INSTRUCTIONS:
Range: $1-$9,999,999
Do not display the phrase 'Enter Number'.
If IA36A = 1-9999999, go to BOX IA37a.

BOX IA36b

BOXIA36b
NOT ON FILE

If IA8 = 1 (SP MUTUAL FUNDS), go to IA36b.
Otherwise, go to IA36c.

IA36b

ia1skbdspwrt

R1 IA36B SP STKS BONDS WRTH

QUESTION TEXT:
SHOW CARD IA18
Is it...

CODES
1    less than $77,000,   BOX IA37A
2    $77,000-$79,999,   BOX IA37A
3    $80,000-$171,999,  BOX IA37A
4    $172,000-$199,999, BOX IA37A
5    $200,000- or more? BOX IA37A
REFUSED  BOX IA37A
DON’T KNOW BOX IA37A
IA36c  ia1bndspest  R1 IA36C EST SP ONLY BNDS WRTH

QUESTION TEXT:

SHOW CARD IA19

Is it...

CODES

1  less than $1,000,
2  $1,000-$15,999,
3  $16,000-$33,999,
4  $34,000-$55,999, or
5  $56,000- or more?

REFUSED

DON'T KNOW

BOX IA37a  BOXIA37a  NOT ON FILE

If IA8 = 2 (SPOUSE MUTUAL FUNDS) or IA9 = 2 (SPOUSE BONDS), go to IA37a.
Otherwise, go to BOX IA38.

IA37a  ia1skbdptwrt  R1 IA37A PARTNR STKS BNDS WRTH

DISPLAY INSTRUCTIONS:

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “you own”.
Otherwise, display “[SPOUSE/PARTNER FIRSTNAME LASTNAME] owns”.

If IA8 = 2 (SPOUSE MUTUAL FUNDS), display “mutual funds or stocks”.
If IA9 = 2 (SPOUSE BONDS), display “government, corporate, or other bonds”.

If more than one type of asset displayed, display “and” between each one.

QUESTION TEXT:

You told me earlier that [you own][SPOUSE/PARTNER FIRSTNAME LASTNAME] owns] [mutual funds or stocks] [government, corporate, or other bonds] that are not part of retirement accounts. About how much are these worth?

IF NEEDED: We don’t need an exact dollar amount. The nearest $1,000 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SPOUSE’S/PARTNER’S MUTUAL FUNDS/STOCKS/BONDS AMOUNT

ENTER NUMBER

Range 1 to 9999999
REFUSED
DON’T KNOW

PROGRAMMER INSTRUCTIONS:

Range: $1-$9,999,999
Do not display the phrase 'Enter Number'.

NHATS Round 1 :: IA
If IA37A = 1-9999999, go to BOX IA38.

BOX IA37b

If IA8 = 2 (SPOUSE MUTUAL FUNDS), go to IA37b. Otherwise, go to IA37c.

IA37b

QUESTION TEXT:

SHOW CARD IA18

Is it...

CODES

| 1 | less than $77,000, |
| 2 | $77,000-$79,999, |
| 3 | $80,000-$171,999, |
| 4 | $172,000-$199,999, or |
| 5 | $200,000- or more? |
|    | REFUSED |
|    | DON'T KNOW |

BOX IA38

If [IA10a = 1 (SP CHECKING) and IA10a = 2 (SPOUSE CHECKING)] or IA10a = 3 (JOINT CHECKING) or [IA10b = 1 (SP SAVINGS) and IA10b = 2 (SPOUSE SAVINGS)] or IA10b = 3 (JOINT SAVINGS), or [IA10c = 1 (SP CDS) and IA10c = 2 (SPOUSE CDS)] or IA10c = 3 (JOINT CDS), go to IA38. Else if IA10a = 1 (SP CHECKING) or IA10b = 1 (SP SAVINGS) or IA10c = 1 (SP CDS), go to IA39a. Else if IA10a = 2 (SPOUSE CHECKING) or IA10b = 2 (SPOUSE SAVINGS) or IA10c = 2 (SPOUSE CDS), go to IA40a. Otherwise, go to BOX IA41.
DISPLAY INSTRUCTIONS:
If PROXY flag = 2 (no) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “you”.
Otherwise, display “SP”.

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “SP FIRSTNAME LASTNAME”.
Otherwise, display “SPOUSE/PARTNER FIRSTNAME LASTNAME”.

If IA10a = 1 (SP CHECKING) or IA10a = 2 (SPOUSE CHECKING) or IA10a = 3 (JOINT CHECKING), display “checking accounts”.
If IA10b = 1 (SP SAVINGS) or IA10b = 2 (SPOUSE SAVINGS) or IA10b = 3 (JOINT SAVINGS), display “savings or money market accounts”.
If IA10c = 1 (SP CDS) or IA10c = 2 (SPOUSE CDS) or IA10c = 3 (JOINT CDS), display “certificates of deposit or CDs”.

If more than one type of account displayed, display “and” between each one.

Display “last month” in underlined text.

QUESTION TEXT:
You told me earlier that {you/SP} and {SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME} have {checking accounts} {savings or money market accounts} {certificates of deposit or CDs}.

If you added up all of these accounts, about how much were they worth early last month (meaning in the beginning of [CURRENT MONTH – 1])?

IF NEEDED: We don’t need an exact dollar amount. The nearest $100 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

CODES
1 ENTER COMBINED AMOUNT IA39A
2 ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY BOX IA38B
   REFUSED
   DON’T KNOW

IA38a

QUESTION TEXT:
ENTER COMBINED SP AND SPOUSE/PARTNER ACCOUNTS TOTAL AMOUNT

ENTER NUMBER

Range 1 to 999999

PROGRAMMER INSTRUCTIONS:
Range: $1-$999,999
Do not display the phrase 'Enter Number’.
If IA38A = 1,999,999, go to BOX IA41.
If IA10c = [1 (SP CDs) and 2 (SPOUSE CDs)] or 3 (JOINT CDs), go to IA38b.
Otherwise, go to IA38c.

<table>
<thead>
<tr>
<th>BOX IA38b</th>
<th>ia1bkdjtest</th>
<th>R1 IA38B EST JOINT BNK CD WRTH</th>
</tr>
</thead>
<tbody>
<tr>
<td>IA38b</td>
<td>SHOW CARD IA20</td>
<td>Is it...</td>
</tr>
<tr>
<td>CODES</td>
<td>BOX IA41</td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>less than $11,000,</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>$11,000-$22,999,</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>$23,000-$44,999,</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>$45,000-$122,999, or</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>$113,000- or more?</td>
<td></td>
</tr>
<tr>
<td>REFUSED</td>
<td>BOX IA41</td>
<td></td>
</tr>
<tr>
<td>DON’T KNOW</td>
<td>BOX IA41</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>BOX IA38c</th>
<th>ia1bnkjtest</th>
<th>R1 IA38C EST JOINT BNK NO CD WRT</th>
</tr>
</thead>
<tbody>
<tr>
<td>IA38c</td>
<td>SHOW CARD IA21</td>
<td>Is it...</td>
</tr>
<tr>
<td>CODES</td>
<td>BOX IA41</td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>less than $1,000,</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>$1,000-$5,999,</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>$6,000-$13,999,</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>$14,000-$35,999, or</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>$36,000- or more?</td>
<td></td>
</tr>
<tr>
<td>REFUSED</td>
<td>BOX IA41</td>
<td></td>
</tr>
<tr>
<td>DON’T KNOW</td>
<td>BOX IA41</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>BOX IA39a</th>
<th>ia1bkdspwrt</th>
<th>R1 IA39A SP BNK CDS WORTH</th>
</tr>
</thead>
<tbody>
<tr>
<td>IA39a</td>
<td>DISPLAY INSTRUCTIONS:</td>
<td></td>
</tr>
<tr>
<td>If PROXY flag = 2 (no), display “you have”.</td>
<td>BOX IA41</td>
<td></td>
</tr>
<tr>
<td>Otherwise, display “SP has”.</td>
<td>BOX IA41</td>
<td></td>
</tr>
<tr>
<td>If IA10a = 1 (SP CHECKING), display “a checking account”.</td>
<td>BOX IA41</td>
<td></td>
</tr>
<tr>
<td>If IA10b = 1 (SP SAVINGS), display “a savings or money market account”.</td>
<td>BOX IA41</td>
<td></td>
</tr>
<tr>
<td>If IA10c = 1 (SP CDs), display “certificates of deposit or CDs”.</td>
<td>BOX IA41</td>
<td></td>
</tr>
<tr>
<td>If more than one type of account displayed, display “and” between each one.</td>
<td>BOX IA41</td>
<td></td>
</tr>
<tr>
<td>Display “last month” in underlined text.</td>
<td>BOX IA41</td>
<td></td>
</tr>
</tbody>
</table>
**QUESTION TEXT:**

You told me earlier that {you have/SP has} {a checking account} {a savings or money market account} {certificates of deposit or CDs}.

If you added up all of these accounts, about how much were they worth early last month (meaning in the beginning of {CURRENT MONTH – 1})?

IF NEEDED: We don’t need an exact dollar amount. The nearest $100 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SP ACCOUNTS TOTAL AMOUNT

---

**ENTER NUMBER**

Range

REFUSED

DON’T KNOW

1 to 999999

**PROGRAMMER INSTRUCTIONS:**

Range: $1-$999,999
Do not display the phrase 'Enter Number'.
If IA39A = 1-999,999, go to BOX IA40a.

---

**BOX IA39b**

If IA10c = 1 (SP CDs), go to IA39b.
Otherwise, go to IA39c.

**ia1bkcdest**

R1 IA39B SP EST BNK ACCT CD WRTH

**CODES**

<table>
<thead>
<tr>
<th>CODE</th>
<th>DESCRIPTION</th>
<th>BOX IA40A</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>less than $10,000,</td>
<td>BOX IA40A</td>
</tr>
<tr>
<td>2</td>
<td>$10,000-$22,999,</td>
<td>BOX IA40A</td>
</tr>
<tr>
<td>3</td>
<td>$23,000-$55,999,</td>
<td>BOX IA40A</td>
</tr>
<tr>
<td>4</td>
<td>$56,000-$80,999, or</td>
<td>BOX IA40A</td>
</tr>
<tr>
<td>5</td>
<td>$81,000 or more?</td>
<td>BOX IA40A</td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
<td>BOX IA40A</td>
</tr>
<tr>
<td></td>
<td>DON’T KNOW</td>
<td>BOX IA40A</td>
</tr>
</tbody>
</table>

---

**IA39c**

**ia1bnkspest**

R1 IA39C SP EST BNK NO CD WRTH

**QUESTION TEXT:**

SHOW CARD IA23

Is it...
**CODES**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>less than $600,</td>
</tr>
<tr>
<td>2</td>
<td>$600-$999,</td>
</tr>
<tr>
<td>3</td>
<td>$1,000-$2,999,</td>
</tr>
<tr>
<td>4</td>
<td>$3,000-$10,999, or</td>
</tr>
<tr>
<td>5</td>
<td>$11,000 or more?</td>
</tr>
</tbody>
</table>

**DISPLAY INSTRUCTIONS:**

If \( IA_{10a} = 2 \) (SPOUSE CHECKING) or \( IA_{10b} = 2 \) (SPOUSE SAVINGS) or \( IA_{10c} = 2 \) (SPOUSE CDS), go to \( IA_{40a} \).
Otherwise, go to BOX IA41.

**IA40a**

**ia1bcdptwrt**

**R1 IA40A PARTNR BNK ACCT CD WRTH**

**DISPLAY INSTRUCTIONS:**

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "you have".
Otherwise, display "[SPOUSE/PARTNER FIRSTNAME LASTNAME] has".

If \( IA_{10a} = 2 \) (SPOUSE CHECKING), display "a checking account".
If \( IA_{10b} = 2 \) (SPOUSE SAVINGS), display "a savings or money market account".
If \( IA_{10c} = 2 \) (SPOUSE CDS), display "certificates of deposit or CDs".

If more than one type of account displayed, display "and" between each one.

Display "last month” in underlined text.

**QUESTION TEXT:**

You told me earlier that {you have/[SPOUSE/PARTNER FIRSTNAME LASTNAME] has} [a checking account] [a savings or money market account] [certificates of deposit or CDs].

If you added up all of these accounts, about how much were they worth early last month (meaning in the beginning of [CURRENT MONTH – 1]):

IF NEEDED: We don’t need an exact dollar amount. The nearest $100 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SPOUSE/PARTNER ACCOUNTS TOTAL AMOUNT

**ENTER NUMBER**

Range: 1 to 999999
REFUSED
DON’T KNOW

**PROGRAMMER INSTRUCTIONS:**

Range: $1-$999,999
Do not display the phrase 'Enter Number'.
If \( IA_{40A} = 1 \) 999999, go to BOX IA41.
If IA1oc = 2 (SPOUSE CDS), go to IA40b.
Otherwise, go to IA40c.

**IA40b**

**ia1bkdptest**

**R1 IA40B EST PARTNR BNK CD WRTH**

**QUESTION TEXT:**

SHOW CARD IA22

Is it...

**CODES**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
<th>BOX IA41A</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>less than $10,000,</td>
<td>BOX IA41A</td>
</tr>
<tr>
<td>2</td>
<td>$10,000-$22,999,</td>
<td>BOX IA41A</td>
</tr>
<tr>
<td>3</td>
<td>$23,000-$55,999,</td>
<td>BOX IA41A</td>
</tr>
<tr>
<td>4</td>
<td>$56,000-$80,999, or</td>
<td>BOX IA41A</td>
</tr>
<tr>
<td>5</td>
<td>$81,000 or more?</td>
<td>BOX IA41A</td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
<td>BOX IA41A</td>
</tr>
<tr>
<td></td>
<td>DON’T KNOW</td>
<td>BOX IA41A</td>
</tr>
</tbody>
</table>

**IA40c**

**ia1bnkptest**

**R1 IA40C EST PART BNK NO CD WRTH**

**QUESTION TEXT:**

SHOW CARD IA23

Is it...

**CODES**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>less than $600,</td>
</tr>
<tr>
<td>2</td>
<td>$600-$999,</td>
</tr>
<tr>
<td>3</td>
<td>$1,000-$2,999,</td>
</tr>
<tr>
<td>4</td>
<td>$3,000-$10,999, or</td>
</tr>
<tr>
<td>5</td>
<td>$11,000 or more?</td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
</tr>
<tr>
<td></td>
<td>DON’T KNOW</td>
</tr>
</tbody>
</table>

**BOX IA41**

**BOXIA41**

**NOT ON FILE**

If [IA8 = 1 (SP MUTUAL FUNDS) and IA8 = 2 (SPOUSE MUTUAL FUNDS)] or IA8 = 3 (JOINT MUTUAL FUNDS) or [IA9 = 1 (SP BONDS) and IA9 = 2 (SPOUSE BONDS)] or IA9 = 3 (JOINT BONDS), or [IA10a = 1 (SP CHECKING) and IA10a = 2 (SPOUSE CHECKING)] or IA10a = 3 (JOINT CHECKING) or IA10b = 1 (SP SAVINGS) and IA10b = 2 (SPOUSE SAVINGS) or IA10b = 3 (JOINT SAVINGS), or [IA10c = 1 (SP CDS) and IA10c = 2 (SPOUSE CDS)] or IA10c = 3 (JOINT CDS), go to IA41.

Else if IA8 = 1 (SP MUTUAL FUNDS) or IA9 = 1 (SP BONDS) or IA10a = 1 (SP CHECKING) or IA10b = 1 (SP SAVINGS) or IA10c = 1 (SP CDS), go to IA42a.

Else if IA8 = 2 (SPOUSE MUTUAL FUNDS) or IA9 = 2 (SPOUSE BONDS) or IA10a = 2 (SPOUSE CHECKING) or IA10b = 2 (SPOUSE SAVINGS) or IA10c = 2 (SPOUSE CDS), go to IA43a.

Otherwise, go to BOX IA44.
PROGRAMMER INSTRUCTIONS:

ENTER NUMBER

IA41

DISPLAY INSTRUCTIONS:

If PROXY flag = 2 (no) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “you”.
Otherwise, display “SP”.

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME".
Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

If IA8 = 1 (SP MUTUAL FUNDS) or 2 (SPOUSE MUTUAL FUNDS) or 3 (JOINT MUTUAL FUNDS), display “mutual funds or stocks”.
If IA9 = 1 (SP BONDS) or 2 (SPOUSE BONDS) or 3 (JOINT BONDS), display “government, corporate, or other bonds”.
If [IA10a = 1 (SP CHECKING) or 2 (SPOUSE CHECKING) or 3 (JOINT CHECKING)] or [IA10b = 1 (SP SAVINGS) or 2 (SPOUSE SAVINGS) or 3 (JOINT SAVINGS)] or [IA10c = 1 (SP CDS) or 2 (SPOUSE CDS) or 3 (JOINT CDS)], display “bank accounts or CDs”.

If more than one type of asset displayed, display “and” between each one.

Display “in the last year” in underlined text.

QUESTION TEXT:

In the last year, how much interest and dividend income did {you/SP} and {SP FIRSTNAME LASTNAME/SPOUSE/PARTNER FIRSTNAME LASTNAME} have altogether from {mutual funds or stocks} {government, corporate, or other bonds} {bank accounts or CDs}?

IF NEEDED: We don’t need an exact dollar amount. The nearest $1,000 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

CODES

1 ENTER COMBINED AMOUNT IA42A
2 ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY IA41A
   REFUSED IA41B
   DON’T KNOW IA41B

IA41a

QUESTION TEXT:

ENTER SP AND SPOUSE’S/PARTNER’S INTEREST AND DIVIDEND INCOME AMOUNT

ENTER NUMBER

Range 1 to 99999

PROGRAMMER INSTRUCTIONS:

Range: $1-$99,999
Do not display the phrase ‘Enter Number’.
If IA41A = 1-99999, go to BOX IA44.
IA41b

**QUESTION TEXT:**
SHOW CARD IA24
Was it...

**CODES**

<table>
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<th>Code</th>
<th>Description</th>
<th>Box Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>less than $500,</td>
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<tr>
<td>2</td>
<td>$500-$999,</td>
<td>BOX IA44</td>
</tr>
<tr>
<td>3</td>
<td>$1,000-$3,999,</td>
<td>BOX IA44</td>
</tr>
<tr>
<td>4</td>
<td>$4,000-$15,999, or more</td>
<td>BOX IA44</td>
</tr>
<tr>
<td>5</td>
<td>$16,000 or more?</td>
<td>BOX IA44</td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
<td>BOX IA44</td>
</tr>
<tr>
<td></td>
<td>DON’T KNOW</td>
<td>BOX IA44</td>
</tr>
</tbody>
</table>

IA42a

**DISPLAY INSTRUCTIONS:**
If PROXY flag = 2 (no), display “you”.
Otherwise, display “SP”.

- If IA8 = 1 (SP MUTUAL FUNDS), display “mutual funds or stocks”.
- If IA9 = 1 (SP BONDS), display “bonds”.
- If IA1oa = 1 (SP CHECKING) or IA1ob = 1 (SP SAVINGS) or IA1oc = 1 (SP CDS), display “bank accounts or CDs”.

If more than one type of asset displayed, display “and” between each one.

Display “in the last year” in underlined text.

**QUESTION TEXT:**
In the last year, how much interest and dividend income did {you/SP} have altogether from {mutual funds or stocks} {bonds} {bank accounts or CDs}?

IF NEEDED: We don’t need an exact dollar amount. The nearest $1,000 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

**ENTER NUMBER**

Range: 1 to 9999
- REFUSED
- DON’T KNOW

**PROGRAMMER INSTRUCTIONS:**
Range: $1-$9,999
Do not display the phrase 'Enter Number'.
If IA42A = 1-9999, go to BOX IA43a.
QUESTION TEXT:

SHOW CARD IA25
Was it...

CODES

1   less than $200,
2   $200-$999,
3   $1,000-$3,999,
4   $4,000-$6,999, or
5   $7,000 or more?
    REFUSED
    DON’T KNOW

BOX IA43a
BOXIA43a
NOT ON FILE

If IA8 = 2 (SPOUSE MUTUAL FUNDS) or IAg = 2 (SPOUSE BONDS) or IAta = 2 (SPOUSE CHECKING) or IAtob = 2 (SPOUSE SAVINGS) or IAtoc = 2 (SPOUSE CDS), go to IA43a.
Otherwise, go to BOX IA44.

DISPLAY INSTRUCTIONS:

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “you”. Otherwise, display “{SPOUSE/PARTNER FIRSTNAME LASTNAME}”.

If IA8 = 2 (SPOUSE MUTUAL FUNDS), display “mutual funds or stocks”.
If IAg = 2 (SPOUSE BONDS), display “bonds”.
If IAta = 2 (SPOUSE CHECKING) or IAtob = 2 (SPOUSE SAVINGS) or IAtoc = 2 (SPOUSE CDS), display “bank accounts or CDs”.

If more than one type of asset displayed, display “and” between each one.

Display “in the last year” in bold underlined text.

QUESTION TEXT:

In the last year, how much interest and dividend income did {you}{SPOUSE/PARTNER FIRSTNAME LASTNAME} have altogether from {mutual funds or stocks} {bonds} {bank accounts or CDs}?

IF NEEDED: We don’t need an exact dollar amount. The nearest $1,000 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SPOUSE/PARTNER INTEREST AND DIVIDEND INCOME AMOUNT

ENTER NUMBER

Range t to 9999

REFUSED
DON'T KNOW

PROGRAMMER INSTRUCTIONS:
Range: $1-$9,999
Do not display the phrase 'Enter Number'.
If IA43A = 1-9999, go to BOX IA44.

IA43b  ia1itdvptest  R1 IA43B ESTPRT INT DV INC LS YR

QUESTION TEXT:
SHOW CARD IA25
Was it...

CODES
1  less than $200,
2  $200-$999,
3  $1,000-$3,999,
4  $4,000-$6,999, or
5  $7,000 or more?
   REFUSED
   DON'T KNOW

BOX IA44  BOXIA44  NOT ON FILE

If [IA13 = 1 (SP BUSINESS/FARM/REAL ESTATE) and IA13 = 2 (SPOUSE BUSINESS/FARM/REAL ESTATE)] or IA13 = 3 (JOINT BUSINESS/FARM/REAL ESTATE), go to IA44.
Else if IA13 = 1 (SP BUSINESS/FARM/REAL ESTATE), go to IA45a.
Else if IA13 = 2 (SPOUSE BUSINESS/FARM/REAL ESTATE), go to IA46a.
Otherwise, go to BOX IA47.

IA44  ia1brewrt  R1 IA44 BUSINESS REAL ESTATE WRTH

DISPLAY INSTRUCTIONS:
If PROXY flag = 2 (no) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "you".
Otherwise, display "sp".

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "sp FIRSTNAME LASTNAME".
Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

If HP1 = 1 (OWNS HOME), display "other than [sp]’s home".

QUESTION TEXT:
You told me earlier that [you/SP] and [SP FIRSTNAME LASTNAME/SPouse/PARTNER FIRSTNAME LASTNAME] have a business, a farm, or real estate [other than [sp]’s home]. If that real estate was sold today and then any debts on it were paid off, about how much would it bring?

IF NEEDED: We don’t need an exact dollar amount. The nearest $1,000 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.
**IA44a**

**IA44a**

**IA44b**

**IA44b**

**IA45a**

**IA45a**
IF NEEDED: We don’t need an exact dollar amount. The nearest $1,000 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SP BUSINESS/FARM/REAL ESTATE AMOUNT

ENTER NUMBER

Range
REFUSED
DON’T KNOW

PROGRAMMER INSTRUCTIONS:

Range: $1-$99,999,999
Do not display the phrase ‘Enter Number’.
If IA45A = 1-99999999, go to BOX IA46a.

<table>
<thead>
<tr>
<th>CODES</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>less than $30,000,</td>
</tr>
<tr>
<td>2</td>
<td>$30,000-$88,999,</td>
</tr>
<tr>
<td>3</td>
<td>$89,000-$295,999,</td>
</tr>
<tr>
<td>4</td>
<td>$296,000-$360,999, or</td>
</tr>
<tr>
<td>5</td>
<td>$361,000 or more?</td>
</tr>
</tbody>
</table>

CODES

1
2
3
4
5

Would it be...

BOX IA46a

BOXIA46a

NOT ON FILE

If IA13 = 2 (SPOUSE BUSINESS/FARM/REAL ESTATE), go to IA46a.
Otherwise, go to BOX IA47.

<table>
<thead>
<tr>
<th>CODES</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>less than $30,000,</td>
</tr>
<tr>
<td>2</td>
<td>$30,000-$88,999,</td>
</tr>
<tr>
<td>3</td>
<td>$89,000-$295,999,</td>
</tr>
<tr>
<td>4</td>
<td>$296,000-$360,999, or</td>
</tr>
<tr>
<td>5</td>
<td>$361,000 or more?</td>
</tr>
</tbody>
</table>

DISPLAY INSTRUCTIONS:

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “you have”.
Otherwise, display “[SPOUSE/PARTNER FIRSTNAME LASTNAME] has”.

If HP1 = 1 (OWNS HOME), display “other than [SP]’s home”

QUESTION TEXT:

You told me earlier that [you have/[SPOUSE/PARTNER FIRSTNAME LASTNAME] has] a business, a farm, or real estate [other than [SP]’s home]. If that real estate was sold today and then any debts on it were paid off, about how much would it bring?

IF NEEDED: We don’t need an exact dollar amount. The nearest $1,000 is fine.
IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SPOUSE/PARTNER BUSINESS/FARM/REAL ESTATE AMOUNT

ENTER NUMBER

Range: t to 99999999
REFUSED
DON'T KNOW

PROGRAMMER INSTRUCTIONS:

Range: $1-$99,999,999
Do not display the phrase 'Enter Number'.
If IA46A = 1-99999999, go to BOX IA47.

IA46b

ia1breptest

R1 IA46B EST PART BUS RE WRTH

QUESTION TEXT:

SHOW CARD IA27
Would it be...

CODES

1 less than $30,000,
2 $30,000-$88,999,
3 $89,000-$295,999,
4 $296,000-$360,999, or
5 $361,000 or more?
REFUSED
DON'T KNOW

BOX IA47

BOXIA47

NOT ON FILE

If [IA13 = 1 (SP BUSINESS/FARM/REAL ESTATE) and IA13 = 2 (SPOUSE BUSINESS/FARM/REAL ESTATE)] or IA13 = 3 (JOINT BUSINESS/FARM/REAL ESTATE), go to IA47.
Else if IA13 = 1 (SP BUSINESS/FARM/REAL ESTATE), go to IA48a.
Else if IA13 = 2 (SPOUSE BUSINESS/FARM/REAL ESTATE), go to IA49a.
Otherwise, go to IA50.

IA47

ia1breiinc

R1 IA47 BUS REALESTATE INC LS YR

DISPLAY INSTRUCTIONS:

If PROXY flag = 2 (no) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "you".
Otherwise, display "SP".

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "SP FIRSTNAME LASTNAME".
Otherwise, display "SPOUSE/PARTNER FIRSTNAME LASTNAME".

Display "in the last year" in underlined text.
QUESTION TEXT:
In the last year altogether, how much income did {you/SP} and {SP FIRSTNAME LASTNAME/SPouse/partner FIRSTNAME LASTNAME} receive from these businesses or property before any federal or state taxes were taken out?

IF NEEDED: We don’t need an exact dollar amount. The nearest $1,000 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

CODES
1 ENTER COMBINED AMOUNT
2 ENTER SP AND SPOUSE/PARTNER AMOUNTS SEPARATELY
   REFUSED
   DON’T KNOW

IA47a ia1breijamt R1 IA47A JOINT BUS RE INC LS YR

QUESTION TEXT:
ENTER SP AND SPOUSE/PARTNER FROM BUSINESS/FARM/REAL ESTATE COMBINED AMOUNT

ENTER NUMBER
Range 1 to 99999999

PROGRAMMER INSTRUCTIONS:
Range: $1-$99,999,999
Do not display the phrase ‘Enter Number’.
If IA47A = 1-99999999, go to BOX IA50.

IA47b ia1breijtest R1 IA47B EST JNT BUS RE INC LSYR

QUESTION TEXT:
SHOW CARD IA28
Was it...

CODES
1 less than $7,200, IA50
2 $7,200-$16,799, IA50
3 $16,800-$40,799, IA50
4 $40,800-$86,399, IA50
5 $86,400 or more? IA50
   REFUSED IA50
   DON’T KNOW IA50
DISPLAY INSTRUCTIONS:

If PROXY flag = 2 (no), display “you”.
Otherwise, display “SP”.

Display “in the last year” in underlined text.

QUESTION TEXT:

In the last year altogether, how much income did {you/SP} receive from these businesses or property before any federal or state taxes were taken out?

IF NEEDED: We don’t need an exact dollar amount. The nearest $1,000 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SP BUSINESS/FARM/REAL ESTATE AMOUNT

ENTER NUMBER

<table>
<thead>
<tr>
<th>Range</th>
<th>1 to 99999999</th>
</tr>
</thead>
<tbody>
<tr>
<td>REFUSED</td>
<td></td>
</tr>
<tr>
<td>DON’T KNOW</td>
<td></td>
</tr>
</tbody>
</table>

PROGRAMMER INSTRUCTIONS:

Range: $1-$99,999,999
Do not display the phrase 'Enter Number'.
If IA48A = 1-99999999, go to BOX IA49a.

IA48b

CODES

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>less than $6,000,</td>
</tr>
<tr>
<td>2</td>
<td>$6,000-$11,999,</td>
</tr>
<tr>
<td>3</td>
<td>$12,000-$23,999,</td>
</tr>
<tr>
<td>4</td>
<td>$24,000-$47,999, or</td>
</tr>
<tr>
<td>5</td>
<td>$48,000 or more?</td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
</tr>
<tr>
<td></td>
<td>DON’T KNOW</td>
</tr>
</tbody>
</table>

BOX IA49a

If IA13 = 2 (SPOUSE BUSINESS/FARM/REAL ESTATE), go to IA49a.
Otherwise, go to IA50.
DISPLAY INSTRUCTIONS:

If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display "you".
Otherwise, display "[SPOUSE/PARTNER FIRSTNAME LASTNAME]".

Display "in the last year" in underlined text.

QUESTION TEXT:

In the last year altogether, how much income did [you/[SPOUSE/PARTNER FIRSTNAME LASTNAME]} receive from these businesses or property before any federal or state taxes were taken out?

IF NEEDED: We don't need an exact dollar amount. The nearest $1,000 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER SPOUSE/PARTNER BUSINESS/FARM/REAL ESTATE AMOUNT

ENTER NUMBER

Range

REFUSED

DON'T KNOW

PROGRAMMER INSTRUCTIONS:

Do not display the phrase 'Enter Number'.

If IA49A = 1-99999999, go to BOX IA50.

IA49b

QUESTION TEXT:

SHOW CARD IA29

Was it...

CODES

<table>
<thead>
<tr>
<th>Code</th>
<th>Amount</th>
</tr>
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<tbody>
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<td>less than $6,000,</td>
</tr>
<tr>
<td>2</td>
<td>$6,000-$11,999,</td>
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<td>3</td>
<td>$12,000-$23,999,</td>
</tr>
<tr>
<td>4</td>
<td>$24,000-$47,999, or</td>
</tr>
<tr>
<td>5</td>
<td>$48,000 or more?</td>
</tr>
</tbody>
</table>

REFUSED

DON'T KNOW

IA50

ia1totinc   R1 IA50 TOTAL INCOME
ia1toincmf  R1 F IMPUTED TOTAL INC FLG
ia1toincm1  R1 IA50 IMPUTED TOTAL INC1
ia1toincm2  R1 IA50 IMPUTED TOTAL INC2
ia1toincm3  R1 IA50 IMPUTED TOTAL INC3
DISPLAY INSTRUCTIONS:

If PROXY flag = 2 (no) or PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “your”. Otherwise, display “SP’s”.

If HH1 = 1 (MARRIED) or 2 (LIVING WITH A PARTNER), display "and {SP FIRSTNAME LASTNAME/SPouse/PARTNER FIRSTNAME LASTNAME}’s”.
If PROXY RELATIONSHIP = 2 (SPOUSE/PARTNER), display “SP FIRSTNAME LASTNAME”.
Otherwise, display "SPouse/PARTNER FIRSTNAME LASTNAME”.

If IA1 = 1 (SP SS/RR) or 2 (SPouse SS/RR), display “Social Security or Railroad Retirement”.
If IA4 = 1 (SP SSI) or 2 (SPouse SSI), display “Supplemental Security Income”.
If IA5 = 1 (SP VA) or 2 (SPouse VA), display “the Veteran’s Administration”.
If IA6 = 1 (SP PENSION) or 2 (SPouse PENSION), display “a pension plan”.
If IA7 = 1 (SP RETIREMENT ACCT) or 2 (SPouse RETIREMENT ACCT), display “any retirement accounts”.
If IA8 = 1 (SP MUTUAL FUNDS), 2 (SPouse MUTUAL FUNDS) or 3 (JOINT MUTUAL FUNDS), display “mutual funds or stocks”.
If IA9 = 1 (SP BONDS), 2 (SPouse BONDS) or 3 (JOINT BONDS), display “bonds”.
If IA10a = 1 (SP CHECKING), 2 (SPouse CHECKING) or 3 (JOINT CHECKING) or IA10b = 1 (SP SAVINGS), 2 (SPouse SAVINGS) or 3 (JOINT SAVINGS), display “bank accounts”.
If IA10c = 1 (SP CDS), 2 (SPouse CDS) or 3 (JOINT CDS), display “CDs”.
If IA12 = 1 (SP REAL ESTATE), 2 (SPouse REAL ESTATE) or 3 (JOINT REAL ESTATE), display “business, farm, or real estate”.
If LF1 = 1 (SP WORK LAST WEEK) or LF2 = 1 (SP HAD JOB LAST WEEK) or LF3 = 1 (SP WORK LAST MONTH) or LF13 = 1 (SPouse WORK LAST MONTH), display “jobs”.

If more than one income source displayed, display “and” between each one.

Display “last year” in underlined text.

QUESTION TEXT:

Now I want to ask about {your/SP’s} {and SP FIRSTNAME LASTNAME/SPouse/PARTNER FIRSTNAME LASTNAME’s} total income for last year, that is, for the calendar year ending in December {CURRENT YEAR - 1} before any federal or state taxes were taken out.

Now think about that total income from:
{Social Security or Railroad Retirement} {Supplemental Security Income}
{the Veteran’s Administration}
{a pension plan} {any retirement accounts}
{mutual funds or stocks} {bonds}
{bank accounts} {CDs} {business, farm or real estate}
{jobs} and from any other sources.

How much was {your/SP’s} {and SP FIRSTNAME LASTNAME/SPouse/PARTNER FIRSTNAME LASTNAME’s} total income before taxes for last year (this is, for the 12 months ending in December {CURRENT YEAR - 1})?

IF NEEDED: We don’t need an exact dollar amount – the nearest $1,000 is fine.

IF NEEDED: We know questions like these may be difficult to answer, but we need to know this to understand how people manage financially as they age and what effect this might have on their health.

ENTER TOTAL INCOME FOR LAST YEAR [SECTION CO]
**PROGRAMMER INSTRUCTIONS:**

Range: $1-$99,999,999
Do not display the phrase 'Enter Number'.
If IA50 = 1-99,999,999, go to Section CO.

---

**BOX IA51a**

<table>
<thead>
<tr>
<th>CODE</th>
<th>Description</th>
<th>Section CO</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>less than $27,600,</td>
<td>SECTION CO</td>
</tr>
<tr>
<td>2</td>
<td>$27,600-$41,999,</td>
<td>SECTION CO</td>
</tr>
<tr>
<td>3</td>
<td>$42,000-$63,999,</td>
<td>SECTION CO</td>
</tr>
<tr>
<td>4</td>
<td>$64,000-$107,999 or</td>
<td>SECTION CO</td>
</tr>
<tr>
<td>5</td>
<td>$108,000 or more?</td>
<td>SECTION CO</td>
</tr>
<tr>
<td></td>
<td>REFUSED</td>
<td>SECTION CO</td>
</tr>
<tr>
<td></td>
<td>DON'T KNOW</td>
<td>SECTION CO</td>
</tr>
</tbody>
</table>

**QUESTION TEXT:**

SHOW CARD IA30
Was it...

---

**IA51B**

<table>
<thead>
<tr>
<th>CODE</th>
<th>Description</th>
<th>Section CO</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>R1 IA51B SINGLE EST TOT INC</td>
<td></td>
</tr>
<tr>
<td></td>
<td>R1 F IMPUTED SGL EST TOT INC FLG</td>
<td></td>
</tr>
<tr>
<td></td>
<td>R1 IA51B IMP SP SGL TOT INC1</td>
<td></td>
</tr>
<tr>
<td></td>
<td>R1 IA51B IMP SP SGL TOT INC2</td>
<td></td>
</tr>
<tr>
<td></td>
<td>R1 IA51B IMP SP SGL TOT INC3</td>
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</tr>
<tr>
<td></td>
<td>R1 IA51B IMP SP SGL TOT INC4</td>
<td></td>
</tr>
<tr>
<td></td>
<td>R1 IA51B IMP SP SGL TOT INC5</td>
<td></td>
</tr>
</tbody>
</table>

**QUESTION TEXT:**

SHOW CARD IA31
Was it...

CODES

1  less than $14,000,
2  $14,000-$21,999,
3  $22,000-$35,999,
4  $36,000-$48,999, or
5  $49,000 or more?

REFUSED
DON’T KNOW

PROGRAMMER INSTRUCTIONS:
Go to Section CO – Car Ownership.